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## Sphenopalatine Ganglion Block for Headache

<b>Table of Contents</b>
<a href="#"><u>Coverage</u></a>
<a href="#"><u>Policy Guidelines</u></a>
<a href="#"><u>Description</u></a>
<a href="#"><u>Rationale</u></a>
<a href="#"><u>Coding</u></a>
<a href="#"><u>References</u></a>
<a href="#"><u>Policy History</u></a>

<b>Related Policies (if applicable)</b>
None

### Disclaimer

#### **Carefully check state regulations and/or the member contract.**

Each benefit plan, summary plan description or contract defines which services are covered, which services are excluded, and which services are subject to dollar caps or other limitations, conditions or exclusions. Members and their providers have the responsibility for consulting the member's benefit plan, summary plan description or contract to determine if there are any exclusions or other benefit limitations applicable to this service or supply. **If there is a discrepancy between a Medical Policy and a member's benefit plan, summary plan description or contract, the benefit plan, summary plan description or contract will govern.**

### Coverage

Sphenopalatine ganglion blocks **are considered experimental, investigational and/or unproven** for all headache indications, including but not limited to the treatment of migraines and non-migraine headaches.

### Policy Guidelines

None.

### Description

Chronic migraine and severe headaches are common conditions, and the available treatments are not universally effective. A proposed treatment option is blocking the sphenopalatine ganglion (SPG) nerve by applying topical anesthetic intranasally. Several catheters approved by the U.S. Food and Drug Administration (FDA) are available for the SPG blocking procedure.

## **Headaches and Headache Treatments**

Headaches are common neurologic disorders and are among the top reasons why patients seek medical care. Headaches affect approximately 50% of the general population in a given year and over 90% of people have a lifetime history of headache. (1) The 2 most common types of headache are migraines and tension-type headaches.

Migraines are the second-most common headache disorder, with a 1-year migraine prevalence of approximately 12% in the United States. (2) Migraines are characterized by severe pain on one or both sides of the head, nausea, and, at times, disturbed vision. Migraines can be categorized by headache frequency, and by the presence or absence of aura. Chronic migraine is defined as attacks on at least 15 days per month for more than 3 months, with features of migraine on at least 8 days per month. (3)

Tension-type headaches have a prevalence of approximately 40%. (2) Diagnostic criteria include the presence of at least two of the following four characteristics: bilateral headache location, non-pulsating pain, mild-to-moderate intensity, and headache not aggravated by physical activity; lasting between 30 minutes and 7 days; and not accompanied by nausea, vomiting, photophobia, or phonophobia. (3)

Cluster headaches are less common than tension or migraine headaches, with an estimated prevalence of 0.1% of the population. (2) They are characterized by severe unilateral orbital, supraorbital, and/or temporal pain that also includes other symptoms in the eye and/or nose on the same side (e.g., rhinorrhea, eyelid edema or drooping). (3)

Postdural puncture headache (PDPH), is a common complication of lumbar puncture. This headache also occurs with low cerebrospinal fluid volume from a leak at the site of the dural puncture, resulting in low cerebrospinal pressure and intracranial hypotension. Patients undergoing epidural anesthesia are also at risk for PDPH due to unintended dural puncture, which has been reported to occur in <1% to 6% of obstetric patients. (4) PDPH is characterized by a bilateral frontal or occipital headache that worsens with sitting or standing and is relieved in the supine position. Associated symptoms may include nausea, neck stiffness, low back pain, tinnitus and visual disturbances. (5) The reported incidence of PDPH as a complication of lumbar puncture is variable, ranging from 10% to 40% of lumbar puncture procedures. (5) Incidence may be as low as 2% when small gauge, non-cutting needles are used.

A variety of medications are used to treat acute migraine episodes. These include medications taken at the onset to abort the attack (e.g., triptans, ergotamines, lasmiditan, calcitonin-gene related peptide antagonists) and medications to treat the pain and other symptoms of migraines once they are established (e.g., nonsteroidal anti-inflammatory drugs [NSAIDS], antiemetics). Prophylactic medication therapy may be appropriate for people with migraines that occur more than 2 days per week. Botulinum toxin type A injections are an FDA-approved treatment for chronic migraine. Several calcitonin-gene related peptide antagonists are available as FDA-approved treatment options for acute and prophylactic treatment of

migraine. In addition to medication, behavioral treatments (e.g., relaxation, cognitive therapy) are used to manage migraine headache.

Severe acute cluster headaches may be treated with abortive therapy, including breathing 100% oxygen and triptan medications. Other medications used to treat cluster headaches include steroids, calcium channel blockers, and nerve pain medications. Due to the severity of pain associated with cluster headaches, patients may seek emergency treatment. Tension-type headaches are generally treated with over-the-counter pain medication.

### **Sphenopalatine Ganglion Block**

Sphenopalatine ganglion (SPG) blocks are a proposed treatment option for chronic migraines and some severe non-migraine headaches. The SPG is a group of nerve cells located behind the bony structures of the nose. The nerve bundle is linked to the trigeminal nerve, the primary nerve involved in headache disorders. The SPG has both autonomic nerves, which in this case are associated with functions such as tearing and nasal congestion, and sensory nerves, associated with pain perception. These blocks involve topical application of local anesthetic to mucosa overlying the SPG. The rationale for using SPG blocks to treat headaches is that local anesthetics in low concentrations could block the sensory fibers and thereby reduce pain while maintaining autonomic function.

The proposed procedure for SPG blockade is to insert an intranasal catheter that is attached to a syringe carrying local anesthetic (e.g., lidocaine, bupivacaine). Once the catheter is in place, the local anesthetic is applied to the posterior wall of the nasal cavity and reaches the SPG. Originally, SPG blocks were done by inserting a cotton-tipped applicator dabbed with local anesthetic into the nose; this technique may be less accurate and effective than the currently proposed procedure. Neurostimulation of the SPG and SPG blockade with radiofrequency lesioning have been used outside of the United States, (6) but these treatments are not cleared or approved by the FDA.

Three catheter devices are commercially available in the United States for performing SPG blocks. The catheters have somewhat different designs, but all are attached to syringes to deliver local anesthetic. The catheters are inserted intranasally and, once in place, the local anesthetic is applied through the catheter. With 2 of the 3 commercially available catheters (the SpenoCath®, Allevio® Nerve Block Catheter), patients are positioned on their back with their nose pointed vertically and their head turned to the side. With the Tx360® device, patients remain seated. (7)

The optimal number and frequency of SPG treatments is unclear. Information from the American Migraine Foundation suggests that the procedure can be repeated as often as needed to control pain. (7) A randomized controlled trial (RCT) has described a course of treatment for migraines consisting of SPG blocks twice a week for 6 weeks (total, 12 treatments).

Sphenopalatine ganglion blocks are proposed for both short- and long-term treatment of headaches and migraines. When used in the emergency setting in patients with severe acute

headaches, the goal of treatment is to abort the current headache while the patient is in the emergency department. In the RCT that provided a 6-week course of treatment with SPG blocks for chronic migraine (mentioned above), short-term outcomes were assessed up to 24 hours after each treatment, and the duration and frequency of chronic migraines were assessed at 1 and 6 months after the course of treatment.

### **Regulatory Status**

The Tx360® Nasal Applicator (Tian Medical), the Allevio SPG Nerve Block Catheter (CureMed), and the SpenoCath (Dolor Technologies) are considered class I devices by the FDA and are exempt from 510(k) requirements. This classification does not require submission of clinical data on efficacy but only notification to the FDA prior to marketing. All 3 devices are used to apply numbing medication intranasally.

### **Rationale**

Medical policies assess the clinical evidence to determine whether the use of a technology improves the net health outcome. Broadly defined, health outcomes are length of life, quality of life, and ability to function, including benefits and harms. Every clinical condition has specific outcomes that are important to patients and to managing the course of that condition.

Validated outcome measures are necessary to ascertain whether a condition improves or worsens; and whether the magnitude of that change is clinically significant. The net health outcome is a balance of benefits and harms.

To assess whether the evidence is sufficient to draw conclusions about the net health outcome of a technology, 2 domains are examined: the relevance and the quality and credibility. To be relevant, studies must represent one or more intended clinical use of the technology in the intended population and compare an effective and appropriate alternative at a comparable intensity. For some conditions, the alternative will be supportive care or surveillance. The quality and credibility of the evidence depend on study design and conduct, minimizing bias and confounding that can generate incorrect findings. The randomized controlled trial (RCT) is preferred to assess efficacy; however, in some circumstances, nonrandomized studies may be adequate. Randomized controlled trials are rarely large enough or long enough to capture less common adverse events and long-term effects. Other types of studies can be used for these purposes and to assess generalizability to broader clinical populations and settings of clinical practice.

### **Chronic Migraine**

#### Clinical Context and Therapy Purpose

The purpose of sphenopalatine ganglion (SPG) block(s) in individuals who have chronic migraine headache is to provide a treatment option that is an alternative to or an improvement on existing therapies.

The following PICO was used to select literature to inform this policy.

### *Populations*

The relevant population of interest is individuals with chronic migraine headache.

### *Interventions*

The therapy being considered is a SPG block.

### *Comparators*

The following therapies and practices are currently being used to treat chronic migraine headache: medication, self-management (e.g., relaxation, exercise), and botulinum toxin injection.

### *Outcomes*

The general outcomes of interest are reductions in migraine frequency, intensity, and medication use. Treatment-related adverse events are minor. A series of injections may be given over several weeks, with follow-up over months to monitor for treatment effect and durability.

### Study Selection Criteria

Methodologically credible studies were selected using the following principles:

- To assess efficacy outcomes, comparative controlled prospective trials were sought, with a preference for RCTs.
- In the absence of such trials, comparative observational studies were sought, with a preference for prospective studies.
- Due to a typically high placebo response rate in patients with headache, placebo-controlled trials were preferred.
- Studies with duplicative or overlapping populations were excluded.

### Randomized Controlled Trials

Findings from a double-blind, placebo-controlled RCT that evaluated SPG blocks to treat chronic migraine were published in two publications by Cady et al. (2015). The first publication (8) reported on the primary outcome measure and key secondary outcomes, and the subsequent publication (9) reported on supplemental secondary outcomes and longer-term follow-up. The trial included patients who met International Classification of Headache Disorders-II diagnostic criteria for chronic migraine (10) and had a chronic migraine for at least 3 months. Patients could use concomitant headache medication but had to agree not to change medication use during the study period. Following an initial 28-day baseline period to confirm the diagnosis of chronic migraine, patients were randomized 2:1 to treatment with bupivacaine 0.5% or saline (placebo) applied using the Tx360 device. Patients received a series of 12 treatments - 2 treatments a week for 6 weeks. The primary outcome was change in pain severity, measured using a 0-to-10 numeric rating scale. Pain severity was assessed 15 minutes, 30 minutes, and 24 hours after each treatment. Key secondary outcome measures were the Patient's Global Impression of Change, the Headache Impact Test (HIT-6) questionnaire, and patient satisfaction with treatment. In addition, patients kept headache diaries throughout the study.

Forty-one patients met eligibility criteria and had chronic migraine diagnoses confirmed during the baseline period. (8) These patients were randomized to bupivacaine (n=27) or to placebo (n=13). Mean baseline scores on the numeric rating scale were 4.8 in the bupivacaine group and 4.5 in the placebo group. When findings for all treatments were pooled, patients in the bupivacaine group reported a significantly greater reduction in numeric rating scale scores than the placebo group at 15 minutes, 30 minutes, and 24 hours after treatment. Bupivacaine-treated patients also had significantly lower Patient's Global Impression of Change scores than saline-treated patients at 30 minutes and 24 hours posttreatment. No statistically significant between-group differences were reported in HIT-6 scores or in average acute medication use. Only one serious adverse event was reported, and it was not treatment related.

The second publication by Cady et al. reported on 1- and 6-month follow-up results and on supplemental secondary end points. (9) To control for multiple comparisons, the cutoff for statistical significance for the supplemental secondary end points was  $p<0.01$ . There were no statistically significant differences between groups in the reported supplementary secondary outcomes. These outcomes included the number of headache days per month, the mean pain score, and quality of life measures. A post hoc power analysis revealed that the trial was underpowered to detect significant differences in secondary outcomes. Some results were suggestive of a long-term effect. For example, the bupivacaine group had a lower, albeit nonsignificant, number of headache days in the month posttreatment (17 days) than the placebo group (23 days). However, a trial with a larger sample size would be needed to confirm whether 1- or 6-month results are significantly better after bupivacaine than after placebo treatment.

#### Section Summary: Chronic Migraine

One double-blind, placebo-controlled, randomized trial has evaluated transnasal SPG blocks for chronic migraine. The trial found a significantly greater short-term (up to 24 hours) reduction in pain severity after active treatment versus placebo. However, there were no significant longer-term effects on other outcomes (i.e., 1 and 6 months after 12 treatments over 6 weeks). The trial was underpowered to detect outcomes at 1 and 6 months. It had some risks of bias due to a high rate of dropouts. Additional adequately powered trials are needed to determine the impact of SPG blocks on health outcomes.

#### **Severe Acute Headache Treated in the Emergency Setting**

##### Clinical Context and Therapy Purpose

The purpose of SPG block(s) in individuals who have severe acute headache treated in the emergency setting is to provide a treatment option that is an alternative to or an improvement on existing therapies.

The following PICO was used to select literature to inform this policy.

##### *Populations*

The relevant population of interest is individuals with severe acute headache treated in the emergency setting.

#### *Interventions*

The therapy being considered is a SPG block.

#### *Comparators*

The following therapy is currently being used to treat severe acute headache treated in the emergency setting: medication.

#### *Outcomes*

The general outcomes of interest are reductions in headache intensity and medication use. Treatment-related adverse events are minor. Follow-up over several hours is needed to monitor for treatment effect.

#### Study Selection Criteria

Methodologically credible studies were selected using the following principles:

- To assess efficacy outcomes, comparative controlled prospective trials were sought, with a preference for RCTs.
- In the absence of such trials, comparative observational studies were sought, with a preference for prospective studies.
- Due to a typically high placebo response rate in patients with headache, placebo-controlled trials were preferred.
- Studies with duplicative or overlapping populations were excluded.

#### Randomized Controlled Trials

The published literature on SPG blocks to treat severe acute headache consists of a single double-blind, placebo-controlled, randomized trial, as reported by Schaffer et al. (2015). (11) The trial included patients between the ages of 18 and 65 who presented to the emergency department with a frontal-based crescendo-onset headache and a negative neurologic examination. The trial focused on frontal-based headaches because this population is considered most likely to respond to SPG blocks. Headaches were not classified into specific types but patients with sudden-onset headache were excluded. Ninety-three patients met eligibility criteria and were randomized 1:1 to treatment with bupivacaine 0.5% (n=45) or to a saline placebo (n=48) applied using the Tx360 device. The intervention consisted of 1 treatment session. The primary outcome was a 50% absolute pain reduction on a 100-mm visual analog scale (VAS) 15 minutes posttreatment. Four patients, 2 in each group, withdrew before receiving the intervention and 2 were deemed ineligible after randomization. Thus, 41 patients in the bupivacaine group and 46 in the placebo group were included in the primary analysis.

For the primary outcome, 20 (49%) patients in the bupivacaine group and 19 (41%) patients in the placebo group had at least a 50% reduction in the mean VAS score. The difference between groups (7.5%) did not differ statistically (95% confidence interval [CI], -13% to 27%). Secondary outcomes, including at least a 19-mm reduction in VAS score, percentage of patients who were

headache-free 15 minutes postintervention, and percentage of patients who were nausea-free 15 minutes postintervention, also did not differ significantly between groups. Seventy-six (88%) patients were available for follow-up after 24 hours. The percentage of patients headache-free at 24 hours was significantly higher in the bupivacaine group (n=26 [72%]) than in the placebo group (n=19 [48%]; difference, 25%; 95% CI, 2.6% to 44%). No serious adverse events were reported in either group. The trialists stated that, in retrospect, outcome assessment at 1 hour after treatment would have been useful because headache relief at 1 hour, but not at 24 hours, is clinically relevant for emergency department headache patients.

#### Section Summary: Severe Acute Headache Treated in the Emergency Setting

One double-blind, placebo-controlled, randomized trial has evaluated a single transnasal SPG block for treating patients with acute headache presenting to an emergency department. The authors did not find a statistically significant benefit for active treatment compared with placebo 15 minutes post-intervention. Significantly more patients were headache-free at 24 hours in the active treatment than in the placebo group, but, in the absence of short-term pain relief, SPG blocks would not be a clinically useful treatment in the emergency setting. Future studies conducted in the emergency setting should assess outcomes for an intermediate time period (e.g., 1- or 2-hours post-treatment).

### **Cluster Headache**

#### Clinical Context and Therapy Purpose

The purpose of SPG block(s) in individuals who have cluster headaches is to provide a treatment option that is an alternative to or an improvement on existing therapies.

The following PICO was used to select literature to inform this policy.

#### *Populations*

The relevant population of interest is individuals with cluster headache.

#### *Interventions*

The therapy being considered is a SPG block.

#### *Comparators*

The following therapies are currently being used to treat cluster headaches: medication and oxygen therapy.

#### *Outcomes*

The general outcomes of interest are reductions in headache frequency, intensity, and medication use. Treatment-related adverse events are minor. A series of injections may be given over several weeks, with follow-up over months to monitor for treatment effect and durability.

#### Study Selection Criteria

Methodologically credible studies were selected using the following principles:

- To assess efficacy outcomes, comparative controlled prospective trials were sought, with a preference for RCTs.
- In the absence of such trials, comparative observational studies were sought, with a preference for prospective studies.
- Due to a typically high placebo response rate in patients with headache, placebo-controlled trials were preferred.
- Studies with duplicative or overlapping populations were excluded.

No RCTs or nonrandomized controlled studies were identified that evaluated 1 of the 3 catheter devices commercially available in the United States for performing SPG blocks for treating cluster headache.

### Case Series

Two case series in patients with chronic drug-resistant cluster headache were published by a research group in Italy. (12, 13) Both studies used a needle (20-gauge in 1 study, 18-gauge in the other) under endoscopic control to inject a mixture of local anesthetics and steroid as close as possible to the SPG. The mixture consisted of triamcinolone acetonide (40 mg), 1% bupivacaine (4 mL), and 2% mepivacaine with 1/100,000 adrenaline (2 mL).

Pipolo et al. (2010) reported on 15 patients who received 3 SPG block treatments a mean of 3 days apart. Eight (53%) of the 15 patients experienced complete remission of cluster headache symptoms. (12) Three (20%) of these continued to be in remission at last follow-up (mean, 18 months). One (7%) patient experienced partial benefit and 6 (40%) reported either no benefit or a benefit for less than 2 weeks. Three (20%) patients experienced complications, including 2 cases of severe epistaxis and 1 of reduced buccal opening that resolved after 5 months.

The earlier study by Felisati et al. (2006), included 21 patients who received between 2 and 4 total treatment sessions, provided 1 week apart. (13) Including 1 patient in whom the treatment could not be applied, 9 (45%) experienced no efficacy, 3 (15%) experienced a partial benefit, and 8 (40%) experienced a complete temporary benefit. In the 8 patients who had complete disappearance of attacks, the benefit lasted 2 to 4 weeks in 3 patients, 3 to 6 months in 3 patients, and 12 to 24 months in 2 patients. Four (19%) patients experienced treatment-related complications, which consisted of 1 case of marked nasal epistaxis 3 days after the procedure and 3 cases of temporary diplopia.

### Section Summary: Cluster Headache

The literature includes 2 case series, both of which were published by the same research group in Italy. The approach to treatment was similar in both studies but differed in terms of medication and application technique currently used in the United States. It is unclear how the safety or efficacy of the procedure used in the case series differs from an intranasal SPG block applying local anesthetics and using a U.S. Food and Drug Administration (FDA) cleared device. In these series, 40% to 50% of patients experienced complete symptom relief for a variable length of time and about 20% had treatment-related complications. These studies had small sample sizes and lacked a sham treatment or alternative therapy for treating cluster headache.

## **Postdural Puncture Headache**

### Clinical Context and Therapy Purpose

The purpose of SPG block(s) in individuals who have postdural puncture headache (PDPH) is to provide a treatment option that is an alternative to or an improvement on existing therapies.

The following PICO was used to select literature to inform this policy.

#### *Populations*

The relevant population of interest is individuals with PDPH.

#### *Interventions*

The therapy being considered is a SPG block.

#### *Comparators*

The following therapies are currently being used to treat PDPH: conservative therapy (e.g., bed rest, oral or intravenous hydration), medication (e.g., analgesics, caffeine, antiemetics), and epidural blood patch (EBP). Epidural blood patch is considered the definitive treatment for PDPH. (14-16)

#### *Outcomes*

The general outcomes of interest are reduction in headache intensity and duration, medication use, and avoidance of EBP use.

### Study Selection Criteria

Methodologically credible studies were selected using the following principles:

- To assess efficacy outcomes, comparative controlled prospective trials were sought, with a preference for RCTs.
- In the absence of such trials, comparative observational studies were sought, with a preference for prospective studies.
- Due to a typically high placebo response rate in patients with headache, placebo-controlled trials were preferred.
- Studies with duplicative or overlapping populations were excluded.

### Systematic Reviews

Dwivedi et al. (2023) published a systematic review and meta-analysis of 9 RCTs comparing SPG block to other treatments in patients with PDPH. (17) The SPG blocks consisted of various lidocaine concentrations (2% to 10%) with some studies combining lidocaine with ropivacaine, dexamethasone, or epinephrine. Comparators included sham block with saline, intranasal lidocaine block, greater occipital nerve block, or pharmacotherapy. Six studies were considered to have "some concern" for bias while the remaining 3 had a low risk of bias. Efficacy outcomes included pain at various time points from 30 minutes up to 7 days after intervention. Tables 1 through 3 summarize the included studies, characteristics, and results of the meta-analysis, respectively. Limitations of the of the studies include the variety of anesthetic strengths and

combinations used for SPG, the open-label design of the majority of the studies, and the small sample sizes.

**Table 1. Comparison of Trials/Studies Included in the Systematic Review/Meta-Analysis**

Study	Dwivedi et al. (2023) (17)
Abotaleb et al. (2022)	●
Bohara et al. (2022)	●
Jespersen et al. (2020)	●
Kumar et al. (2021)	●
Mowafi et al. (2021)	●
Nazir et al. (2021)	●
Putthenveettil et al. (2018)	●
Yilmaz et al. (2020)	●
Youssef et al. (2021)	●

**Table 2. Systematic Review & Meta-Analysis Characteristics**

Study	Dates	Trials	Participants	N (Range)	Design	Duration
Dwivedi et al. (2023) (17)	Through Oct 2022	9	Patients with PDPH treated with SPG block vs placebo or other intervention	381 (20-100)	RCT	Up to 7 days after intervention

PDPH: postdural puncture headache; RCT: randomized controlled trial; SPG: sphenopalatine ganglion.

**Table 3. Systematic Review & Meta-Analysis Results**

Study	Pain at 30 Minutes	Pain at 2 Hours	Pain at 24 Hours	Treatment Failure
<b>Dwivedi et al. (2023) (17)</b>				
Total N	271	211	251	293
Pooled effect (95% CI)	SMD: -1.99 (-3.88 to -0.10)	SMD: -1.23 (-3.06 to 0.59)	SMD: -0.40 (-0.85 to 0.06)	RR: 0.40 (0.18 to 0.91)
$I^2$ (p)	97% (<0.00001)	97% (<0.00001)	63% (NR)	66% (NR)

CI: confidence interval; NR: not reported; RR: risk ratio; SMD: standardized mean difference.

### Section Summary: Postdural Puncture Headache

One systematic review of 9 RCTs (N=381) compared SPG blocks to various PDPH treatments or sham. The SPG blocks consisted of various lidocaine concentrations (2% to 10%) with some studies combining lidocaine with ropivacaine, dexamethasone, or epinephrine. The primary outcome was the pooled assessment of the pain at various intervals. SPG blocks significantly improved pain compared with controls at 30 minutes, 1 hour, and 4 hours, but not at 2 hours, 6 hours, 8 hours, 12 hours, or 24 hours. The use of rescue treatment was similar between groups. Limitations of the analysis include the variety of anesthetic strengths and combinations used for

SPG, the open-label design of the majority of the studies, and the small sample size of the studies.

### **Summary of Evidence**

For individuals who have chronic migraine who receive sphenopalatine ganglion (SPG) block(s), the evidence includes a randomized controlled trial (RCT) and a case report. Relevant outcomes are symptoms, functional outcomes, quality of life, and treatment-related morbidity. The randomized trial evaluated a regimen of 12 SPG blocks over 6 weeks and was double-blind and placebo-controlled. The trial found significantly greater short-term (up to 24 hours) benefits from active treatment than from placebo. There were no significant long-term effects (i.e., 1 and 6 months after 12 treatments), although the trial was underpowered to detect longer term efficacy. Given that SPG blocks are being proposed as a preventive therapy for chronic migraines, evidence demonstrating reduced migraine frequency, severity, or other objective outcomes from robust trials is still needed. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals who have severe acute headache treated in the emergency setting who receive SPG block(s), the evidence includes a single RCT. Relevant outcomes are symptoms, functional outcomes, quality of life, and treatment-related morbidity. The randomized, double-blind, placebo-controlled trial evaluated a single SPG block for severe acute headache of mixed etiologies. There was no statistically significant difference between active treatment and placebo for the primary outcome (pain reduction 15 minutes postintervention). The trialists did not collect pain data again until 24 hours posttreatment, at which time significantly more patients were headache-free in the active treatment arm than in the placebo arm. Additional studies, preferably RCTs, are needed to determine whether SPG blocks are an effective treatment in the emergency setting. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals who have cluster headache who receive SPG block(s), the evidence includes case series. Relevant outcomes are symptoms, functional outcomes, quality of life, and treatment-related morbidity. Two small case series, both of which evaluated an approach for intranasal SPG blocks that differs from the intervention currently available in the United States, were identified. In these series, 40% to 50% of patients experienced complete symptom relief for a variable length of time and about 20% had treatment-related complications. However, it is not clear from these series the degree to which the procedures evaluated differ in safety and efficacy from an intranasal SPG block using a device cleared by the United States Food and Drug Administration. Additional studies, preferably RCTs, are needed to evaluate SPG blocks for treating cluster headaches. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals who have postdural puncture headache (PDPH) who receive SPG block(s), the evidence includes a systematic review of 9 RCTs. Relevant outcomes are symptoms, functional outcomes, quality of life, and treatment-related morbidity. The systematic review included 9 RCTs (N=381) comparing SPG blocks to various PDPH treatments or sham. The SPG blocks

consisted of various lidocaine concentrations (2% to 10%) with some studies combining lidocaine with ropivacaine, dexamethasone, or epinephrine. The primary outcome was the pooled assessment of the pain at various intervals. SPG blocks significantly improved pain compared with controls at 30 minutes, 1 hour, and 4 hours, but not at 2 hours, 6 hours, 8 hours, 12 hours, or 24 hours. The use of rescue treatment was similar between groups. Limitations of the analysis include the variety of anesthetic strengths and combinations used for SPG, the open-label design of the majority of the studies, and the small sample size of the studies. Additional studies, preferably RCTs with larger sample sizes, are needed to evaluate SPG blocks for treating PDPH. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

### **Practice Guidelines and Position Statements**

#### American Academy of Pain Medicine

The American Academy of Pain Medicine (2021) conducted a systematic review to develop practice recommendations for use of percutaneous interventional strategies for the preventive treatment of migraine. (18) Sphenopalatine ganglion blocks received a weak recommendation for chronic migraine prevention based on a very low certainty of evidence. The only therapy evaluated in the guideline that received a strong recommendation for chronic migraine prevention was onabotulinumtoxinA.

#### American Headache Society

The American Headache Society guideline (2016) on the treatment of cluster headache includes subcutaneous sumatriptan, zolmitriptan nasal spray, and high flow oxygen as Level A (established as effective) acute treatment recommendations. (19) Sphenopalatine ganglion stimulation is rated as a Level B (probably effective) acute treatment recommendation. However, the recommendation for sphenopalatine ganglion stimulation was based on a single RCT that evaluated an implanted, on-demand, acute electrical stimulation device of the SPG, (20) rather than a catheter device used to apply local anesthetic. There are no Level A recommendations for reducing the frequency of cluster headaches in the guideline.

#### Multi-society International Working Group

A multi-society international working group with U.S representation published clinical practice guidelines on postdural puncture headache (PDPH) management. (21) The guidelines state that "Evidence does not support routine use of SPGBs [sphenopalatine ganglion blocks] to treat PDPH." This was a Grade I level recommendation with a low level of certainty.

### **Ongoing and Unpublished Clinical Trials**

Some currently ongoing and unpublished trials that might influence this policy are listed in Table 4.

**Table 4. Summary of Key Trials**

NCT Number	Trial Name	Planned Enrollment	Completion Date
<i>Ongoing</i>			

NCT04069897	Botulinum Toxin Type A Blockade of the Sphenopalatine Ganglion in Treatment-refractory Chronic Migraine (MiBlock)	170	Jun 2025 (active, not recruiting)
NCT03944876	Botulinum Toxin Type A Blockade of the Sphenopalatine Ganglion in Treatment-refractory Chronic Cluster Headache (BASIC)	112	Sep 2025 (recruiting)
NCT05213065	Efficacy of Transnasal Sphenopalatine Ganglion Block Using TX360® Device for Children and Adolescents With Chronic Daily Headaches: A Single Center, Prospective, Randomized, Double Blind, Placebo-controlled Study Assessing the Efficacy of the Transnasal Sphenopalatine Ganglion Block in the Treatment of Chronic Daily Headache in Children and Adolescents	120	Dec 2024
<b><i>Unpublished</i></b>			
NCT04255420	Sphenopalatine Ganglion Blocks for Headaches in the Emergency Department	84	Jun 2021 (unknown)
NCT03337620 <sup>a</sup>	A Multicenter, Randomized, Double-Blind, Placebo-Controlled, Parallel 20 Week Study of the Efficacy and Safety of the Tx360® Nasal Applicator for Transnasal Sphenopalatine Ganglion Block in the Treatment of Chronic Migraine	174	Dec 2023 (completed)
NCT03984045	Sphenopalatine Ganglion Block for Treating Acute Frontal Migraine Headache in Pediatric Patients	72	Dec 2022 (unknown)

NCT: national clinical trial.

<sup>a</sup> Denotes industry-sponsored or -cosponsored trial.

## Coding

Procedure codes on Medical Policy documents are included **only** as a general reference tool for each policy. **They may not be all-inclusive.**

The presence or absence of procedure, service, supply, or device codes in a Medical Policy document has no relevance for determination of benefit coverage for members or reimbursement for providers. **Only the written coverage position in a Medical Policy should be used for such determinations.**

Benefit coverage determinations based on written Medical Policy coverage positions must include review of the member's benefit contract or Summary Plan Description (SPD) for defined coverage vs. non-coverage, benefit exclusions, and benefit limitations such as dollar or duration caps.

<b>CPT Codes</b>	64400, 64505, 64999
<b>HCPCS Codes</b>	J1094, J1100, J2795, S0020

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## Centers for Medicare and Medicaid Services (CMS)

The information contained in this section is for informational purposes only. HCSC makes no representation as to the accuracy of this information. It is not to be used for claims adjudication for HCSC Plans.

The Centers for Medicare and Medicaid Services (CMS) does not have a national Medicare coverage position. Coverage may be subject to local carrier discretion.

A national coverage position for Medicare may have been developed since this medical policy document was written. See Medicare's National Coverage at <<https://www.cms.hhs.gov>>.

## Policy History/Revision

Date	Description of Change
08/15/2025	Document updated with literature review. The coverage statement was revised to: "Sphenopalatine ganglion blocks are considered experimental, investigational and/or unproven for all headache indications, including but not limited to the treatment of migraines and non-migraine headaches". The title was changed from: "Sphenopalatine Ganglion Block for Headaches or Facial Pain." Added references 17 and 21; one removed.
10/15/2024	Reviewed. No changes.

09/15/2023	Document updated with literature review. Coverage unchanged. Reference 1 updated.
07/01/2022	Document updated with literature review. Coverage unchanged. Added/updated the following references: 3 and 18-20.
02/01/2022	Reviewed. No changes.
07/15/2021	Document updated with literature review. Coverage unchanged. Added references 4, 5, 15-18.
01/15/2021	Reviewed. No changes.
06/15/2020	Document updated with literature review. Coverage unchanged. No new references added; some removed.
06/15/2019	Reviewed. No changes.
06/01/2018	Document updated with literature review. Coverage statement rearranged to state, "for all indications, including, but not limited to the treatment of migraines, non-migraine headaches, or facial pain". Description and Rationale rewritten and reorganized. References were reorganized, with 1-7, 10, 13-17, and 17 added; several references removed. Title changed from Topical Application Device for Anesthetic Treatment to the Sphenopalatine Ganglion for Headaches or Facial Pain to Sphenopalatine Ganglion Block for Headaches or Facial Pain.
02/15/2017	Document updated with literature review. Coverage unchanged.
02/15/2016	Reviewed. No changes.
09/01/2015	New medical document. Topical application of anesthetic (e.g., Marcaine, or Naropin), with or without steroid(s), to the sphenopalatine ganglion as a nerve block for headaches or facial pain using the Tx360® or similar device, is considered experimental, investigational and/or unproven.