

<b>Policy Number</b>	<b>RX501.098</b>
<b>Policy Effective Date</b>	<b>12/15/2024</b>

# Gene Therapy for Inherited Retinal Dystrophy

Table of Contents
<a href="#">Coverage</a>
<a href="#">Policy Guidelines</a>
<a href="#">Description</a>
<a href="#">Rationale</a>
<a href="#">Coding</a>
<a href="#">References</a>
<a href="#">Policy History</a>

Related Policies (if applicable)
None

## Disclaimer

Medical policies are a set of written guidelines that support current standards of practice. They are based on current peer-reviewed scientific literature. A requested therapy must be proven effective for the relevant diagnosis or procedure. For drug therapy, the proposed dose, frequency and duration of therapy must be consistent with recommendations in at least one authoritative source. This medical policy is supported by FDA-approved labeling and/or nationally recognized authoritative references to major drug compendia, peer reviewed scientific literature and acceptable standards of medical practice. These references include, but are not limited to: MCG care guidelines, DrugDex (IIa level of evidence or higher), NCCN Guidelines (IIb level of evidence or higher), NCCN Compendia (IIb level of evidence or higher), professional society guidelines, and CMS coverage policy.

### Carefully check state regulations and/or the member contract.

Each benefit plan, summary plan description or contract defines which services are covered, which services are excluded, and which services are subject to dollar caps or other limitations, conditions or exclusions. Members and their providers have the responsibility for consulting the member's benefit plan, summary plan description or contract to determine if there are any exclusions or other benefit limitations applicable to this service or supply. **If there is a discrepancy between a Medical Policy and a member's benefit plan, summary plan description or contract, the benefit plan, summary plan description or contract will govern.**

## Legislative Mandates

**EXCEPTION: For HCSC members residing in the state of Ohio**, § 3923.60 requires any group or individual policy (Small, Mid-Market, Large Groups, Municipalities/Counties/Schools, State Employees, Fully-Insured, PPO, HMO, POS, EPO) that covers prescription drugs to provide for the coverage of any drug approved by the U. S. Food and Drug Administration (FDA) when it is prescribed for a use recognized as safe and effective for the treatment of a given indication in one or more of the standard medical reference compendia adopted by the United States Department of Health and Human Services or in medical literature even if the FDA has not approved the drug for that indication. Medical literature support is only satisfied when safety and efficacy has been confirmed in two articles from major peer-reviewed professional medical journals that present data supporting the proposed off-label use or uses as generally safe and effective. Examples of accepted journals include, but are not limited to, Journal of American Medical Association (JAMA), New England Journal of Medicine (NEJM), and Lancet. Accepted

study designs may include, but are not limited to, randomized, double blind, placebo controlled clinical trials. Evidence limited to case studies or case series is not sufficient to meet the standard of this criterion. Coverage is never required where the FDA has recognized a use to be contraindicated and coverage is not required for non-formulary drugs.

## Coverage

Voretigene neparvovec-rzyl adeno-associated virus vector-based gene therapy via subretinal injection **is considered medically necessary** for individuals with vision loss due to biallelic *RPE65* variant-associated retinal dystrophy if they meet **ALL** the following criteria:

- Are adults (age <65 years) or children (age > 12 months).
- Documentation of the following:
  - Genetic testing confirming presence of bilallelic *RPE65* pathogenic variant(s) or likely pathogenic variants:
    - Single *RPE65* pathogenic variant or likely pathogenic variant found in the homozygous state (e.g., the presence of the same variant in both copies alleles of the *RPE65* gene).
    - Two *RPE65* pathogenic variants or likely pathogenic variants found in the trans-configuration (compound heterozygous state) by segregation analysis (e.g., the presence of 2 different *RPE65* variants in separate copies of the *RPE65* gene [trans-configuration]).
- Presence of viable retinal cells as determined by treating physicians as assessed by optical coherence tomography imaging and/or ophthalmoscopy:
  - An area of retina within the posterior pole of >100 µm thickness shown on optical coherence tomography; OR
  - ≥3-disc areas of retina without atrophy or pigmentary degeneration within the posterior pole; OR
  - Remaining visual field within 30° of fixation as measured by III4e isopter or equivalent.
- Patient has not previously received *RPE65* gene therapy in the intended eye.
- Prescribed and administered by an ophthalmologist or retinal surgeon with experience providing sub-retinal injections.
- Does not have ANY of the following:
  - Pregnancy in females.
  - Breastfeeding.
  - Use of retinoid compounds or precursors that could potentially interact with the biochemical activity of the *RPE65* enzyme; individuals who discontinue use of these compounds for 18 months may become eligible.
  - Prior intraocular surgery within 6 months.
  - Preexisting eye conditions or complicating systemic diseases that would preclude the planned surgery or interfere with the interpretation of study. Complicating systemic diseases would include those in which the disease itself, or the treatment for the disease, can alter ocular function. Examples are malignancies whose treatment could affect central nervous system (CNS) function (e.g.,

radiotherapy of the orbit; leukemia with CNS/optic nerve involvement). Subjects with diabetes or sickle cell disease would be excluded if they had any manifestation of advanced retinopathy (e.g., macular edema, proliferative changes). Also excluded would be subjects with immunodeficiency (acquired or congenital) because they could be susceptible to opportunistic infection (e.g., cytomegalovirus retinitis).

Voretigene neparvovec-rzyl is **considered experimental, investigational, and/or unproven** for all other indications.

## Policy Guidelines

None.

## Description

Inherited retinal dystrophy (IRD) can be caused by recessive variants in the *RPE65* gene. Patients with biallelic variants have difficulty seeing in dim light and progressive loss of vision. These disorders are rare and have traditionally been considered untreatable. Gene therapy with an adeno-associated virus vector expressing *RPE65* has been proposed as a treatment to improve visual function.

### Background

#### Inherited Retinal Dystrophies

Inherited Retinal Dystrophies (IRDs) are a diverse group of disorders with overlapping phenotypes characterized by progressive degeneration and dysfunction of the retina. (1) The most common subgroup is retinitis pigmentosa (RP), which is characterized by a loss of retinal photoreceptors, both cones and rods. (1, 2) The hallmark of the condition is night blindness (nyctalopia) and loss of peripheral vision. These losses lead to difficulties in performing visually dependent activities of daily living (ADL) such as orientation and navigation in dimly lit areas. Visual acuity (VA) may be maintained longer than peripheral vision, though eventually, most individuals progress to vision loss.

#### *RPE65* Gene

RP and Leber congenital amaurosis (LCA) both have subtypes related to pathogenic variants in *RPE65*. *RPE65* (retinal pigment epithelium-specific protein 65-kD) gene encodes the RPE54 protein is an all-trans-retinal isomerase, a key enzyme expressed in the retinal pigment epithelium (RPE) that is responsible for regeneration of 11-*cis*-retinol in the visual cycle. (3) The *RPE65* gene is located on the short (p) arm of chromosome 1 at position 31.3 (1p31.3). Individuals with biallelic variations in *RPE65* lack the *RPE65* enzyme; this lack leads to build-up of toxic precursors and damage to RPE cells, loss of photoreceptors, and eventually complete blindness. (4)

## Epidemiology

*RPE65* associated IRD is rare. The prevalence of LCA has been estimated to be between 1 in 33,000 and 1 in 81,000 individuals in the United States (U.S.). (5, 6) LCA subtype 2 (*RPE65*-associated LCA) accounts for between 5% and 16% of cases of LCA. (5, 7-9) The prevalence of RP in the U.S. is approximately 1 in 3500 to 1 in 4000 (2), with approximately 1% of patients with RP having *RPE65* variants. (10) Table 1 summarizes the estimated pooled prevalence of *RPE*-associated IRD, and the range of estimated cases based on the estimated 2017 U.S. population.

**Table 1. Estimated Pooled Prevalence of *RPE65*-Associated IRD and Estimated Number of Patients**

Description	Low	High
Estimated pooled prevalence of <i>RPE65</i> -mediated IRD (e.g., LCA type 2, <i>RPE65</i> -mediated RP)	1:330,000	1:130,000
Estimated number of patients	1000	2500

IRD: Inherited retinal dystrophy; LCA type 2: Leber congenital amaurosis type 2; RP: retinitis pigmentosa.

## Diagnosis of Biallelic *RPE65*-Mediated Inherited Retinal Dystrophies

Genetic testing is required to detect the presence of pathogenic or likely pathogenic variants in the *RPE65* gene in individuals with documented vision loss. By definition, pathogenic or likely pathogenic variant(s) must be present in both copies of the *RPE65* gene to establish a diagnosis of biallelic *RPE65*-mediated inherited retinal dystrophy.

A single *RPE65* pathogenic or likely pathogenic variant found in the homozygous state (e.g., the presence of the same pathogenic or likely pathogenic variant in both copies of the *RPE65* gene) establishes a diagnosis of biallelic *RPE65*-mediated dystrophinopathy.

However, if 2 different *RPE65* pathogenic or likely pathogenic variants are detected (e.g., compound heterozygous state), confirmatory testing such as segregation analysis by family studies may be needed to determine the *trans* vs *cis* configuration (e.g., whether the 2-different pathogenic or likely pathogenic variants are found in different copies or in the same copy of the *RPE65* gene). The presence of 2 different *RPE65* pathogenic or likely pathogenic variants in separate copies of the *RPE65* gene (*trans* configuration) establishes a diagnosis of biallelic *RPE65*-mediated dystrophinopathy. The presence of 2 different *RPE65* pathogenic or likely pathogenic variants in only 1 copy of the *RPE65* gene (*cis* configuration) is not considered a biallelic *RPE65*-mediated dystrophinopathy.

Next-generation sequencing and Sanger sequencing typically cannot resolve the phase (e.g., *trans* versus *cis* configuration) when 2 *RPE65* pathogenic or likely pathogenic variants are detected. In this scenario, additional documentation of the *trans* configuration is required to establish a diagnosis of biallelic *RPE65*-mediated IRD. Table 2 provides a visual representation of the genetic status requirements to establish a diagnosis of *RPE65*-mediated IRD.

**Table 2. Genetic Diagnosis of *RPE65*-Mediated IRD**

Genetic Status	Diagram	Retinal Dystrophy
Homozygous	<i>RPE65</i> gene copy #1 (----- X -----) <i>RPE65</i> gene copy #2 (----- X -----) X=single <i>RPE65</i> pathogenic or likely pathogenic variant	Yes
Heterozygous ( <i>trans</i> configuration)	<i>RPE65</i> gene copy #1 (----- X -----) <i>RPE65</i> gene copy #2 (--- O -----) X= <i>RPE65</i> pathogenic or likely pathogenic variant #1 O= <i>RPE65</i> pathogenic or likely pathogenic variant #2	Yes
Heterozygous ( <i>cis</i> configuration)	<i>RPE65</i> gene copy #1 (-- O -- X -----) <i>RPE65</i> gene copy #2 (-----) X= <i>RPE65</i> pathogenic or likely pathogenic variant #1 O= <i>RPE65</i> pathogenic or likely pathogenic variant #2	No

### Genetics Nomenclature Update

The Human Genome Variation Society nomenclature is used to report information on variants found in DNA and serves as an international standard in DNA diagnostics. It is being implemented for genetic testing medical evidence review updates starting in 2017 (see Table 3). The Society’s nomenclature is recommended by the Human Variome Project, the Human Genome Organization, and by the Human Genome Variation Society itself.

The American College of Medical Genetics and Genomics and the Association for Molecular Pathology standards and guidelines for interpretation of sequence variants represent expert opinion from both organizations, in addition to the College of American Pathologists. These recommendations primarily apply to genetic tests used in clinical laboratories, including genotyping, single genes, panels, exomes, and genomes. Table 4 shows the recommended standard terminology - “pathogenic,” “likely pathogenic,” “uncertain significance,” “likely benign,” and “benign” - to describe variants identified that cause Mendelian disorders.

**Table 3. Nomenclature to Report on Variants Found in DNA**

Previous	Updated	Definition
Mutation	Disease-associated variant	Disease-associated change in the DNA sequence.
	Variant	Change in the DNA sequence.
	Familial variant	Disease-associated variant identified in a proband for use in subsequent targeted genetic testing in first-degree relatives.

DNA: Deoxyribonucleic acid

**Table 4. ACMG-AMP Standards and Guidelines for Variant Classification**

Variant Classification	Definition
Pathogenic	Disease-causing change in the DNA sequence

Likely pathogenic	Likely disease-causing change in the DNA sequence
Variant of uncertain significance	Change in DNA sequence with uncertain effects on disease
Likely benign	Likely benign change in the DNA sequence
Benign	Benign change in the DNA sequence

ACMG: American College of Medical Genetics and Genomics; AMP: Association for Molecular Pathology; DNA: Deoxyribonucleic acid.

### Genetic Counseling

Experts recommend formal genetic counseling for patients who are at risk for inherited disorders and who wish to undergo genetic testing. Interpreting the results of genetic tests and understanding risk factors can be difficult for some patients; genetic counseling helps individuals understand the impact of genetic testing, including the possible effects the test results could have on the individual or their family members. It should be noted that genetic counseling may alter the utilization of genetic testing substantially and may reduce inappropriate testing; further, genetic counseling should be performed by an individual with experience and expertise in genetic medicine and genetic testing methods.

### Gene Therapy

Gene therapies are treatments that change the expression of genes to treat disease, for example, by replacing or inactivating a gene that is not functioning properly or by introducing a new gene. Genes may be introduced into human cells through a vector, usually a virus. (11) Adeno-associated viruses (AAV) are frequently used due to their unique biology and simple structure. These viruses are in the parvovirus family and are dependent on coinfection with other viruses, usually adenoviruses, to replicate. AAVs are poorly immunogenic compared with other viruses but can still trigger immune response making it a challenge to deliver an effective dose without triggering an immune response that might render the gene therapy ineffective or harm the patient. (4) There are over 100 different AAVs, and 12 serotypes have been identified so far, labeled AAV1 to AAV12, AAV2, AAV4, and AAV5, and AAV8 have been most extensively studied in ocular gene therapies. (12) The recombinant AAV2 is the most commonly used AAV serotype in gene therapy (13)

The eye is a particularly appropriate target for gene therapy due to the immune privilege provided by the blood-ocular barrier and the minimal amount of vector needed, given the size of the organ. Gene therapy for *RPE65* variant-associated retinal dystrophy using various AAV vectors to transfect cells with a functioning copy of *RPE65* in the RPE cells has been investigated.

### **Regulatory Status**

On December 19, 2017, the AAV2 gene therapy vector voretigene neparvovec-rzyl (Luxturna™; Spark Therapeutics) was approved by the U.S. Food and Drug Administration (FDA) for use in patients with vision loss due to confirmed biallelic *RPE65* variant-associated retinal dystrophy. (14) Spark Therapeutics received breakthrough therapy designation, rare pediatric disease designation, and orphan drug designation.

Per the FDA label:

- The recommended dose of voretigene neparvovec-rzyl for each eye is  $1.5 \times 10^{11}$  vector genomes (vg), administered by subretinal injection in a total volume of 0.3 milliliters (mL).
- Subretinal administration of voretigene neparvovec-rzyl to each eye must be performed on separate days within a close interval, but no fewer than 6 days apart.
- Systemic oral corticosteroids equivalent to prednisone at 1 milligram(mg)/kilogram (kg)/day (maximum, 40 mg/day) are recommended for a total of 7 days (starting 3 days before administration of voretigene neparvovec-rzyl to each eye), followed by a tapering dose during the next 10 days.
- Treatment is not recommended for patients under 12 months of age because the retinal cells are still undergoing cell proliferation and voretigene neparvovec-rzyl would potentially be diluted or lost during cell proliferation.
- The safety and efficacy of voretigene neparvovec-rzyl has not been established in individuals 65 years of age and older.
- Voretigene neparvovec-rzyl should be administered in the surgical suite under controlled aseptic conditions by a surgeon experienced in performing intraocular surgery. (14)

## Rationale

This medical policy was created in June 2018 and has been updated with searches of the PubMed database. The most recent literature update was performed through June 26, 2023.

Medical policies assess the clinical evidence to determine whether the use of a technology improves the net health outcome. Broadly defined, health outcomes are length of life, quality of life, and ability to function, including benefits and harms. Every clinical condition has specific outcomes that are important to patients and to managing the course of that condition. Validated outcome measures are necessary to ascertain whether a condition improves or worsens; and whether the magnitude of that change is clinically significant. The net health outcome is a balance of benefits and harms.

To assess whether the evidence is sufficient to draw conclusions about the net health outcome of a technology, 2 domains are examined: the relevance and the quality and credibility. To be relevant, studies must represent one or more intended clinical use of the technology in the intended population and compare an effective and appropriate alternative at a comparable intensity. For some conditions, the alternative will be supportive care or surveillance. The quality and credibility of the evidence depend on study design and conduct, minimizing bias and confounding that can generate incorrect findings. The randomized controlled trial (RCT) is preferred to assess efficacy; however, in some circumstances, nonrandomized studies may be adequate. RCTs are rarely large enough or long enough to capture less common adverse events and long-term effects. Other types of studies can be used for these purposes and to assess generalizability to broader clinical populations and settings of clinical practice.



## Gene Therapy for *RPE65* Variant-Associated Retinal Dystrophy.

### Clinical Context and Therapy Purpose

The purpose of gene therapy in patients who have retinal dystrophies caused by *RPE65* variants is to restore the visual cycle so that vision is improved, and patients can function more independently in their daily activities.

The following PICO was used to select literature to inform this policy.

### *Populations*

The relevant population of interest is individuals with biallelic *RPE65* variant-associated retinal dystrophy who have vision loss. Individuals must still have sufficient, viable retinal cells to respond to the missing protein and restore visual function.

### *Interventions*

The treatment being considered is gene augmentation therapy.

Voretigene neparvovec-rzyl (Luxturna) is a U.S. Food and Drug Administration (FDA) approved adeno-associated viral serotype 2 (AAV2) gene therapy vector that supplies a functional copy of the *RPE65* gene within the retinal pigment epithelium (RPE) cells.

### *Comparators*

There are no other FDA approved pharmacologic treatments for *RPE65* variant-associated retinal dystrophy. Supportive care such as correction of refractive error and visual aids and assistive devices may aid in performing daily activities.

### *Outcomes*

Outcomes related to both how the eyes function and how an individual functions in vision-related activities of daily living (ADL) are important for evaluating the efficacy of gene therapy for the treatment of retinal dystrophy. Relevant outcomes measures are listed in Table 5 below.

**Table 5. Health Outcome Measures Relevant to Retinal Dystrophy**

<b>Outcome</b>	<b>Measure (Units)</b>	<b>Description</b>	<b>Clinically Meaningful Difference (If Known)</b>
Functional vision	Multi-Luminance Mobility Testing (score change)	Measures ability to navigate at different levels of environmental illumination; scores at a specific time range from 0 (minimum) to 6 (maximum). Positive change indicates improved ability to navigate under different lighting conditions.	1 light level (15)



Light sensitivity	Full-field Light Sensitivity Threshold (log <sub>10</sub> [cd.s/m <sup>2</sup> ])	Measures light sensitivity of the entire retina; more negative values indicate improved sensitivity to light.	10 dB or 1 log (15)
Visual acuity (VA)	ETDRS test charts(logMAR)	Measures central visual function; 0.1 logMAR = 5 ETDRS letters or 1 line; lower logMAR signifies better VA.	10-15 ETDRS letters (1-2 lines) (16, 17)
Visual field (VF)	Humphrey Visual Field (dB)	Measures area in which objects can be detected in the periphery of the visual environment, while the eye is focused on a central point; Humphrey measures static fields; higher dB indicates increased sensitivity.	3-dB change (18)
	Goldmann perimetry (sum total degrees)	Measures kinetic fields; higher sum total degrees indicates a larger field of vision.	
Contrast sensitivity	Pelli-Robson Contrast Sensitivity Charts (log contrast sensitivity)	Measures ability to see objects of different saturations (shades of gray); larger log contrast sensitivity indicates letters of lower contrast can be read correctly.	
Visual-specific ADL(s)	NEI VFQ-25 (sum)	Measures patient report of effect of visual function on ADLs for individuals with poor vision; higher scores indicate visually dependent tasks are perceived to be less difficult.	2- to 4-point change (19, 20)

ADL: activities of daily living; ETDRS: Early Treatment of Diabetic Retinopathy Study; log<sub>10</sub> (cd.s/m<sup>2</sup>): logarithm of candela second per meter squared; logMAR: logarithm of the minimum angle of resolution; NEI: National Eye Institute; VA; visual acuity; VF: visual field; VFQ: visual Function Questionnaire.

Because the hallmark of the disease is nyctalopia, the manufacturer developed a novel outcome measure that assesses functional vision by evaluating the effects of illumination on speed and accuracy of navigation. The measure incorporates features of visual acuity (VA), visual field (VF), and light sensitivity. The Multi-Luminance Mobility Test (MLMT) grades individuals navigating a marked path while avoiding obstacles through various courses at 7 standardized levels of illumination, ranging from 1 to 400 lux (see examples in Table 6). Graders monitoring the navigation assign each course either a “pass” or “fail” score, depending on whether the individual navigates the course within 180 seconds with 3 or fewer errors. The lowest light level passed corresponds to an MLMT lux score, which ranges from 0 (400 lux) to 6 (1 lux). The score change is the difference between the MLMT lux score at year 1 and baseline. A positive score change corresponds to passing the MLMT at a lower light level. The reliability

and content validity of the MLMT were evaluated in 60 (29 normal sighted, 31 visually impaired) individuals who navigated MLMT courses 3 times over 1 year. (21)

**Table 6. Light Levels for Multi-Luminance Mobility Test**

Light Levels (lux)	Example of Light Level in Environment
1	Moonless summer night; indoor nightlight.
4	Cloudless night with half-moon; parking lot at night.
10	1 hour after sunset in city; bus stop at night.
50	Outdoor train station at night; inside of lighted stairwell.
125	30 minutes before sunrise; interior of train or bus at night.
250	Interior of elevator or office hallway.
400	Office environment or food court.

Adapted from the manufacturer’s Food and Drug Administration (FDA) briefing materials. (21)

Improvements in vision and function over a period of a year would demonstrate treatment efficacy. Evidence of durability of these effects over a period of several years or more is also needed given the progressive nature of the disease process.

#### Study Selection Criteria

In addition to the PICO selection criteria, additional selection criteria for studies to assess a therapy are listed below:

1. To assess efficacy outcomes, seek comparative controlled prospective trials, with preference for RCTs.
2. In the absence of such trials, seek comparative observational studies, with preference for prospective studies.
3. To assess longer term outcomes and adverse effects, also seek single-arm studies that capture longer periods of follow up and/or larger populations.
4. Consistent with the best available evidence approach within each category of study design, prefer larger sample size studies and longer duration studies.
5. Seek to exclude studies with duplicative or overlapping populations.

#### Systematic Reviews

Britten-Jones et al. (2022) published a systematic review that summarized gene therapies for monogenic retinal and optic nerve diseases. (22) A total of 151 reports on gene therapies for 16 different genetic variants were included, of which 54 reports concerned gene therapies using AAV-based vectors targeting the *RPE65* variant. Seven of the 54 reports were published clinical trials: 1 phase 3 RCT by Russell et al. (2017) (15) and 6 single-arm, open-label, phase 1/2 trials in which the untreated eye served as the comparator. (23-28) These trials are all summarized in the following sections. Statistically significant improvements were found in 2 major outcomes, full-field stimulus threshold (FST) test and mobility evaluation assessed using MLMT. Five of the 7 published trials reported adverse events; the most common adverse events were ocular hypertension/increase in intraocular pressure (16 of 79 patients), ocular pain/discomfort (12 of 79 patients), and the development or worsening of cataracts (7 of 79 patients). The systematic review by Wang et al. (2020), summarized below, was also included in the review. (29) Due to

significant heterogeneity in the included studies, a pooled meta-analysis was not performed; rather, a visual summary of the outcomes of different trials was presented.

Tuohy et al. (2021) conducted a systematic review and meta-analysis that assessed the efficacy of gene therapies for inherited retinal degenerations. (30) Six studies on AAV2-mediated gene therapy in patients with *RPE65*-associated Leber congenital amaurosis (LCA) were included, by Jacobson et al. (2012), Testa et al. (2013), Bainbridge et al. (2015), Weleber et al. (2016), Russell et al. (2017), and Le Meur et al. (2018); these studies are all summarized in the following sections. (15, 23, 24, 27, 28, 31) FST showed significant improvements with red light (risk ratio [RR], 1.89, treated vs. untreated eye;  $p=.04$ ) and blue light (RR, 2.01, treated vs. untreated eye;  $p=.001$ ). Modest (although not statistically significant) improvements were found in VA (weighted mean difference [WMD], -0.06 logMAR improvement over treated vs. untreated eye; 95% confidence interval [CI], -0.14 to 0.02;  $p=.16$ ), ambulatory navigation/mobility (RR, 1.35; 95% CI, 0.78 to 2.35;  $p=.29$ ), and central retinal thickness (RR, 1.15; 95% CI, 0.45 to 3.00;  $p=.77$ ). Limitations of the meta-analysis included insufficient number of RCTs (only 1 available) and variability in vector design/amount delivered across trials.

Wang et al. (2020) also conducted a systematic review that assessed the association between changes in visual function and application of gene therapy in patients with *RPE65*-associated LCA. (29) The same 6 studies included in the systematic review by Tuohy et al. (2021) were included in this study. A significant improvement in change in VA in the treated eye relative to the untreated eye was found at 1 year (-0.10 logMAR; 95% CI, -0.17 to -0.04;  $p=.002$ ), but not at 2 to 3 years (WMD, 0.01; 95% CI, -0.00 to 0.02;  $p=.15$ ), after treatment. At 1 year after treatment, FST sensitivity to blue flashes also improved by 1.60 log (95% CI, 0.66 to 2.55;  $p=.0009$ ); however, the difference was not statistically significant for red flashes (WMD, 0.86; 95% CI, -0.29 to 2.01;  $p=.14$ ). Central retinal thickness was, on average, 19.21  $\mu\text{m}$  lower in treated eyes than in untreated eyes (95%CI, -34.22 to -4.20;  $p=.01$ ) at 2 to 3 years after treatment.

### *Section Summary: Systematic Reviews*

A recent systematic review (N=151 total records) summarized efficacy and safety outcomes from studies on gene therapies for monogenic diseases of the retina and optic nerve. For *RPE65*-mediated retinal dystrophies, gene therapy showed statistically significant improvements in FST and MLMT, while the most common adverse events were ocular hypertension/increase in intraocular pressure, ocular discomfort/pain, and the development or worsening of cataracts. Another systematic review found an improvement in FST, but not in VA, mobility, or central retinal thickness, with gene therapy treatment for *RPE65*-associated LCA. A third systematic review found that *RPE65*-gene therapy for LCA is associated with an improvement of VA and FST in up to 2 years after treatment. Most studies included in these 3 systematic reviews were nonrandomized studies in which the untreated eye served as the comparator.

### Randomized Controlled Trials

One gene therapy (voretigene neparvovec-rzyl) for patients with biallelic *RPE65* variant-associated retinal dystrophy has RCT evidence. The pivotal RCT, titled “The efficacy and safety of voretigene neparvovec (AAV2-h*RPE65*v2) in patients with *RPE65*-mediated inherited retinal dystrophy” (NCT00999609) was an open-label trial of patients ages 3 or older with biallelic *RPE65* variants, VA worse than 20/60, and/or a VF less than 20° in any meridian, with sufficient viable retinal cells. (11, 14, 15) Patients meeting these criteria were randomized 2:1 to intervention (n=21) or control (n=10). The trial was conducted at a children’s hospital and university medical center. Patients were enrolled between 2012 and 2013. The intervention treatment group received sequential injections of 1.5E11 vg AAV2-h*RPE65*v2 (voretigene neparvovec-rzyl) to each eye no more than 18 days apart (target, 12 days; standard deviation, 6 days). The injections were delivered in a total subretinal volume of 0.3 mL under general anesthesia. The control treatment group received voretigene neparvovec 1 year after the baseline evaluation. Patients received prednisone 1 mg/kg/d (max, 40 mg/d) for 7 days starting 3 days before injection in the first eye and tapered until 3 days before injection of the second eye at which point the steroid regimen was repeated. During the first year, follow-up visits occurred at 30, 90, 180 days, and 1 year. Extended follow-up is planned for 15 years. The efficacy outcomes were compared at 1 year. The primary outcome was the difference in mean bilateral MLMT score change. MLMT graders were masked to treatment group. The trial was powered to have greater than 90% power to detect a difference of 1 light level in the MLMT score at a 2-sided type I error rate of 5%. Secondary outcomes were hierarchically ranked: 1) difference in change in full-field light sensitivity threshold (FST) testing averaged over both eyes for white light; 2) difference in change in monocular (first eye) MLMT score change; 3) difference in change in VA averaged over both eyes. Patient-reported vision-related ADL using a Visual Function Questionnaire (VFQ) and VF testing (Humphrey and Goldmann) were also reported. The VFQ has not been validated.

At baseline, the mean age was about 15 years old (range, 4-44 years) and approximately 42% of the participants were male. The MLMT passing level differed between the groups at baseline; about 60% passed at less than 125 lux in the intervention group versus 40% in the control group. The mean baseline VA was not reported but appears to have been between approximately 20/200 and 20/250 based on a figure in the manufacturer briefing document. One patient in each treatment group withdrew before the year 1 visit; neither received voretigene neparvovec. The remaining 20 patients in the intervention treatment and 9 patients in the control treatment groups completed the year 1 study visit. The intention-to-treat (ITT) population included all randomized patients.

The efficacy outcome results at year 1 for the ITT population are shown in Table 7. In summary, the differences in change in MLMT and FST scores were statistically significant. No patients in the intervention group had worsening MLMT scores at 1 year compared with 3 patients in the control group. Almost two-thirds of the intervention arm showed maximal improvement in MLMT scores (passing at 1 lux) while no participants in the control arm were able to do so. Significant improvements were also observed in Goldmann III4e and Humphrey static perimetry macular threshold VF exams. The difference in change in VA was not statistically significant although the changes correspond to an improvement of about 8 letters in the intervention

group and a loss of 1 letter in the control group. The original VA analysis used the Holladay method to assign values to off-chart results. Using, instead the Lange method for off-chart results, the treatment effect estimate was similar, but variability estimates were reduced (difference in change, 7.4 letters; 95% confidence interval [CI], 0.1 to 14.6 letters). No control patients experienced a gain of 15 or more letters ( $\leq 0.3$  logMAR) at year 1 while 6 of 20 patients in the intervention group gained 15 or more letters in the first eye and 4 patients also experienced this improvement in the second eye. Contrast sensitivity data were collected but were not reported.

**Table 7. Efficacy Outcomes Results at Year 1 in the Pivotal Phase 3 Trial of Gene Therapy for RPE65 Variant-Associated Retinal Dystrophy**

Outcomes	Intervention Mean (SD)	Control Mean (SD)	Difference (95% CI)	p
<b>Primary outcome</b>				
Bilateral MLMT change score	1.8 (1.1)	0.2 (1.0)	1.6 (0.72 to 2.41)	0.001
<b>Secondary outcomes</b>				
Bilateral FST change, log <sub>10</sub> (cd.s/m <sup>2</sup> )	-2.08 (0.29)	0.04 (0.44)	-2.11 (-3.19 to 1.04)	0.000
First eye MLMT change score	1.9 (1.2)	0.2 (0.6)	1.7 (0.89 to 2.52)	0.001
Bilateral VA change, logMAR	-.016 (SD NR) <sup>a</sup>	0.01 (SD NR) <sup>b</sup>	-0.16 (-.41 to 0.08)	0.17
<b>Other supportive outcomes</b>				
Goldman VF III4e change (sum total degrees)	302.1 (289.6)	-76.7 (258.7)	378.7 (145.5 to 612.0)	0.006
Humphrey VF, foveal sensitivity change, dB	2.4 (9.7)	2.3 (5.3)	0.04 (-7.1 to 7.2)	0.18
Humphrey VF, macula threshold change, dB	7.7 (6.2)	-.02 (1.7)	7.9 (3.5 to 12.2)	0.001
Visual Function Questionnaire, subject	2.6 (1.8)	.01 (1.4)	2.4 (1.0, 3.8)	0.001

CI: confidence interval; FST: full-field light sensitivity threshold; MLMT: Multi-Luminance Mobility Test; NR: not reported; SD: standard deviation; VA: visual acuity; VF: visual field.

a Corresponds to mean improvement of about 8 letters (i.e., >1.5 lines).

b Corresponds to mean loss of about 1 letter.

The manufacturer briefing document reports results out to 2 years of follow-up. (11) In the intervention group, both functional vision and visual function improvements were observed for at least 2 years. At year 1, all 9 control patients received bilateral injections of voretigene neparvovec-rzyl. After receiving treatment, the control group experienced improvement in MLMT (change score, 2.1; standard deviation, 1.6) and FST (change, -2.86; standard deviation,

1.49). VA in the control group improved an average of 4.5 letters between years 1 and 2. Overall, 72% (21/29) of all treated patients achieved the maximum possible MLMT improvement at 1 year following injection.

Two patients (1 in each group) experienced serious adverse events; both were unrelated to study participation. The most common ocular adverse events in the 20 patients treated with voretigene neparvovec-rzyl were mild to moderate: elevated intraocular pressure, 4 (20%) patients; cataract, 3 (15%) patients; retinal tear, 2 (10%) patients; and eye inflammation, 2 (10%) patients. Several ocular adverse events occurred only in 1 patient each: conjunctival cyst, conjunctivitis, eye irritation, eye pain, eye pruritus, eye swelling, foreign body sensation, iritis, macular hold, maculopathy, pseudopapilledema, and retinal hemorrhage. One patient experienced a loss of VA (2.05 logMAR) in the first eye injected with voretigene neparvovec-rzyl; the eye was profoundly impaired at 1.95 logMAR (approximately 20/1783 on a Snellen chart) at baseline.

Maguire et al (2019) published the results of the open-label follow-on phase 1 study at year 4 and the phase 3 study at year 2. (26) Mean (SD) MLMT lux score change was 2.4 (1.3) at 4 years compared with 2.6 (1.6) at 1 year after administration in phase 1 follow-on subjects (n=8). Mean (SD) MLMT lux score change was 1.9 (1.0) at 2 years and 1.9 (1.0) at 1-year post-administration in the original intervention group (n=20). The mean (SD) MLMT lux score change was 2.1 (1.6) at 1-year post-administration in control subjects (n=9). Therefore, durability for up to 4 years has been reported, with observation ongoing.

In 2021, Maguire et al published phase 3 trial results at 3 and 4 years. (32) Mean (SD) MLMT score change at year 4 for patients who received the original intervention (n=21) was 1.7 (1.1) compared to 1.8 (1.0) at year 3. For patients who received delayed intervention after serving as controls for year 1 (n=10), mean (SD) MLMT score change at year 3 was 2.4 (1.5). Therefore, durability of treatment for up to 4 years continues to be reported, with observation ongoing. Overall, 71% of patients with a year 3 visit were able to pass MLMT at the lowest light level. One patient in the original intervention group experienced retinal detachment at year 4.

#### Section Summary: Randomized Controlled Trials

In the pivotal RCT, patients in the voretigene neparvovec-rzyl group demonstrated greater improvements on the MLMT, which measures the ability to navigate in dim lighting conditions, compared with patients in the control group. The difference in mean improvement was both statistically significant and larger than the a priori defined clinically meaningful difference. Most other measures of visual function were also significantly improved in the voretigene neparvovec-rzyl compared with the control group, except VA. Improvements seemed durable over a period of 2 years. The adverse events were mostly mild to moderate; however, 1 patient lost 2.05 logMAR in the first eye treated with voretigene neparvovec-rzyl by the 1-year visit. There are limitations in the evidence. There is limited follow-up available. Therefore, long-term efficacy and safety are unknown. The primary outcome measure has not been used previously in RCTs and has limited data to support its use. Only the MLMT assessors were blinded to

treatment assignment, which could have introduced bias assessment of other outcomes. The modified VFQ is not validated, so effects on quality of life remain uncertain.

### Early Phase Trials

Based on preclinical studies performed in animals, early phase studies of gene augmentation therapy for *RPE65*-associated LCA were initiated in 2007 by several independent groups of investigators. The initial reports of the results of these studies began to be published in 2008. The studies did not have an untreated control group, but several used a patient’s untreated eye as a control. Characteristics of the studies are shown in Table 8. Most cohorts included in the studies have been followed in several publications. The baseline visual function, gene constructs, vector formulations, and surgical approaches used by different investigators have varied. Voretigene neparvovec-rzyl was administered to the Children’s Hospital of Pennsylvania (CHOP) cohort.

**Table 8. Characteristics of Phase 1/2 studies of Gene Therapy for *RPE65* Variant-Associated Retinal Dystrophy**

Cohort (Registration)	Author (Year)	Country (Institution)	Participant	Treatment	Follow-Up
Voretigene neparvovec-rzyl					
CHOP (NCT00516477, NCT01208389)	Maguire (2008) (33); Maguire (2009) (25); Simonelli (2010) (34); Ashtari (2011) (35); Bennett (2012) (36); Testa (2013) (31); Ashtari (2015) (37); Bennett (2016) (38); Ashtari (2017) (39)	U.S./Children’s Hospital of Pennsylvania	<ul style="list-style-type: none"> <li>• N=12</li> <li>• Age range, 8-44 y</li> <li>• <i>RPE65</i>-associated LCA</li> </ul>	<ul style="list-style-type: none"> <li>• Vector: AAV2-h<i>RPE65</i>v2</li> <li>• Administration: subretinal space of worse seeing eye</li> <li>• Vector dose: 1.5E10 to 1.5E11 vg</li> <li>• Volume delivered: 0.15 mL</li> <li>• Systemic steroids: Yes</li> <li>• Contralateral eye treated with 1.5E11 vg during follow-up study</li> </ul>	Up to 3 y
Other Gene Therapies					
London (NCT00643747)	Bainbridge (2008) (40);	U.K./Moorfield’s Eye Hospital;	<ul style="list-style-type: none"> <li>• N=12</li> </ul>	<ul style="list-style-type: none"> <li>• Vector: rAAV2/2-</li> </ul>	Up to 3 y



	Stieger (2010) (41); Bainbridge (2015) (27); Ripamonti (2015) (42).	University College London	<ul style="list-style-type: none"> <li>• Age range, 6-23 y</li> <li>• Early-onset, <i>RPE65</i>-associated severe retinal dystrophy</li> </ul>	<i>hRPE65p-hRPE65</i> <ul style="list-style-type: none"> <li>• Administration: subretinal space of worse seeing eye</li> <li>• Vector dose: 1E11</li> <li>• Volume delivered: 1.0 mL</li> <li>• Systemic steroids: Yes</li> </ul>	
Scheie/Shands (NCT00481546)	Hauswirth (2008) (43); Cideciyan (2008) (44); Cideciyan (2009) (45, 46); Jacobson (2012) (24); Cideciyan (2013) (47); Cideciyan (2014) (48); Jacobson (2015) (49)	U.S./Scheie Eye Institute of the University of Pennsylvania; Shands Children's Hospital, University of Florida	<ul style="list-style-type: none"> <li>• N=15</li> <li>• Age range, 10-36 y</li> <li>• <i>RPE65</i>-associated LCA</li> </ul>	<ul style="list-style-type: none"> <li>• Vector: rAAV2-CBSB-<i>hRPE65</i></li> <li>• Administration: subretinal space of worse seeing eye</li> <li>• Vector dose: 5.96E10 to 18E10</li> <li>• Volume delivered: 0.15-0.30 mL</li> <li>• Systemic steroids: No</li> </ul>	Up to 6 y
Israel (NCT00821340)	Banin (2010) (50)	Israel/Hadassah- Hebrew University Medical Center	N=10	<ul style="list-style-type: none"> <li>• Vector: rAAV2-CB-<i>hRPE65</i></li> <li>• Administration: subretinal space of worse seeing eye</li> <li>• Vector dose: 1.19E10</li> <li>• Volume delivered: 0.3 mL</li> </ul>	3 y

				<ul style="list-style-type: none"> <li>• Systemic steroids: No</li> </ul>	
Casey/UMass (NCT00749957)	Weleber (2016) (28, 51)	U.S./Casey Eye Institute, Oregon Health & Science University; University of Massachusetts	<ul style="list-style-type: none"> <li>• N=12</li> <li>• Age range, 6-39 y</li> <li>• RPE65-associated LCA or SECORD</li> </ul>	<ul style="list-style-type: none"> <li>• Vector: rAAV2-CB-hRPE65</li> <li>• Administration: subretinal space of worse seeing eye</li> <li>• Vector dose: 1.8E11 to 6E11</li> <li>• Volume delivered: 0.45 mL</li> <li>• Systemic steroids: No</li> </ul>	Up to 5 y
Nantes (NCT01496040)	Le Meur (2018) (23)	France/Nantes University Hospital	<ul style="list-style-type: none"> <li>• N=9</li> <li>• Age range, 9-42 y</li> <li>• RPE65-associated LCA</li> </ul>	<ul style="list-style-type: none"> <li>• Vector: rAAV2/4-hRPE65</li> <li>• Administration: subretinal space of worse seeing eye</li> <li>• Vector dose: 1.2E10 to 4.8E10</li> <li>• Volume delivered: 0.20-0.80 mL</li> <li>• Systemic steroids: Yes</li> </ul>	Up to 3.5 y

AAV: adeno-associated viruses; CHOP: Children’s Hospital of Pennsylvania; LCA: Leber congenital amaurosis; NCT: national clinical trial; SECORD: severe early-childhood onset retinal degeneration; VA: visual acuity; vg: vector genomes.

### **Voretigene Neparvovec-rzyl**

CHOP Cohort: Several publications have described various outcomes and subgroups of the cohort included in the phase 1/2 studies of voretigene neparvovec-rzyl. (25, 31, 33-39) Early results showed improvement in subjective and objective measurements of vision (i.e., dark adaptometry, pupillometry, electroretinography, nystagmus, ambulatory behavior). (25, 34, 35)

Although the samples were too small for subgroups analyses, the investigators noted that the greatest improvement appeared to be in children. Three-year follow-up of five of the first injected eyes (in patients from Italy) was reported. (31) There was a statistically significant improvement in VA between baseline and 3 years ( $p < 0.001$ ). All patients maintained increased VF and a reduction of the nystagmus frequency compared with baseline. Three-year follow-up is also available for both the originally injected eye and contralateral eye in 11 patients. (38) Statistically significant improvements in mean mobility and full-field light sensitivity persisted to year 3. The changes in VA were not significant. Ocular adverse events were mostly mild (dellen formation in 3 patients and cataracts in 2 patients). One patient developed bacterial endophthalmitis.

Long-term follow-up for safety was reported in the manufacturer's FDA briefing documents. (11) This follow-up included the 12 patients in the phase 1 study as well as the 29 patients in the phase 3 study. Two phase II patients had 9 years of follow-up, 8 patients had 8 years of follow-up, and all 12 patients had at least 7 years of follow-up. Four phase III patients had 4 years of follow-up and the remaining patients had between 2 and 3 years of follow-up. No deaths occurred. The adverse events tended to occur early and diminish and resolve over time. While all patients experienced at least 1 adverse event, 85% of the adverse events reported were of mild or moderate intensity. Fourteen serious adverse events were reported by 9 patients, but none were assessed as related to the product; one was assessed as related to the administration procedure (retinal disorder) and another as related to a periocular steroid injection (increased intraocular pressure). Ocular adverse events that were assessed as related to treatment, required clinical management, or impacted the benefit-risk profile occurred in 81 eyes (41 patients): macular disorders (9 eyes, 7 patients), increased intraocular pressure (10 eyes, 8 patients), retinal tear (4 eyes, 4 patients), infections/inflammation (5 eyes, 3 patients), and cataracts (16 eyes, 9 patients). Nine eyes in 7 patients had a 15-letter or more loss in VA. Four of the eyes had VA loss within a month of surgery, and the other 5 eyes had VA loss at or after the first year. No deleterious immune responses were observed in any patients.

### ***Other Gene Therapies***

London Cohort: At least 4 publications following the London cohort are available. (27, 40-42) Preliminary results showed increased retinal sensitivity in 1 of 3 participants. After 3 years of follow-up in all 12 patients, 2 patients had substantial improvements (10 to 100 times as high) in rod sensitivity that peaked around 12 months after treatment and then declined. There was no consistent improvement overall in VA. A decline in VA of 15 letters or more occurred in 2 patients. Intraocular inflammation and/or immune responses occurred in 5 of the 8 patients who received the higher dose and in 1 of 4 patients who received the lower dose. The immune response was deleterious in 1 patient.

Scheie/Shands Cohort: Results for patients in the Scheie/Shands cohort have also been reported in many publications. (24, 43-49) Visual function was reported to have improved in all patients. Dark-adapted FST showed highly significant increases from baseline in the treated eye and no change in the control eye. Cone and rod sensitivities improved significantly in the treated regions of the retina at 3 months, and these improvements were sustained through 3

years. Small improvements in VA were reported, and the improvement appeared to be largest in eyes with the lowest baseline acuities. Retinal detachment and persistent choroidal effusions were reported in 1 patient each; both were related to surgery. However, at a mean follow-up of 4.6 years, the investigators noted that while improvements in vision were maintained overall, the photoreceptors showed progressive degeneration. In 3 patients followed for 5 to 6 years, improvements in vision appeared to peak between 1 and 3 years after which there was a decline in the area of improved sensitivity in all 3 patients.

Israel Cohort: Although the registration for this study indicates that 10 patients were enrolled and followed for 3 years, only the short-term results of 1 patient have been reported. (50) In that patient, there was an increase in vision as early as 15 days after treatment.

Casey/UMass Cohort: Two publications have reported results for the Casey/UMass cohort. (28, 51) In 9 of 12 patients, there was improvement in 1 or more measures of visual function. VA increased in 5 patients, 30° VF hill of vision increased in 6 patients, total VF hill of vision increased in 5 patients, and kinetic VF area increased in 3 patients. The improvements persisted to 2 years in most patients. National Eye Institute VFQ-25 scores improved in 11 of 12 patients. Subconjunctival hemorrhage occurred in 8 patients, and ocular hyperemia occurred in 5 patients.

Results at 5 years following treatment were available for 11/12 patients, with 1 patient lost to follow-up. (51) Improvements in VA and static perimetry persisted during years 3-5 in all 4 pediatric patients, with no consistent changes in kinetic perimetry. In 2 of these patients, VA in the untreated eye also improved in years 3-5. Most adult subjects had no consistent changes in VA or static perimetry. In 4 of 5 adult subjects with poor baseline VA, progressive loss of vision in 1 or both eyes were noted during years 3-5. No significant adverse safety events were observed with results providing further evidence that treatment at an early age promotes improved outcomes.

Nantes Cohort: One publication has described results of the Nantes cohort. (23) In 8 of 9 patients, there was an improvement in VA of more than 2.5 letters at 1 year after injection; improvements were greatest for patients with a baseline VA between 7 and 31 letters and those with nystagmus. After 2 years of follow-up, the surface area of the VF had increased in 6 patients, decreased in 2 patients, and was the same in 1 patient. For the 6 patients with 3 years of follow-up, 4 continued to have improvements in VF.

#### *Section Summary: Early Phase Trials*

Voretigene neparvovec-rzyl appears to have durable effects to at least 4 years in a small number of patients with follow-up. Other gene therapies tested in early phase trials have shown improvements in retinal function but the variable durability of effect; some patients from 2 cohorts who initially experienced improvements have subsequently experienced declines after 1 to 3 years. Adverse events of gene therapy tended to occur early; most are mild to moderate and diminished over time. Seven of 41 patients treated with voretigene

neparvovec-rzyl have had a loss of 15 letters or more in at least 1 eye. Most studies have reported minimal immune response.

### **Summary of Evidence**

For individuals who have vision loss due to biallelic *RPE65* variant-associated retinal dystrophy who receive gene therapy, the evidence includes randomized controlled trials (RCTs) and uncontrolled trials. Relevant outcomes are symptoms, morbid events, functional outcomes, quality of life, and treatment-related morbidity. Biallelic *RPE65* variant-associated retinal dystrophy is a rare condition. It is recognized that there will be particular challenges in generating evidence for this condition, including recruitment for adequately powered RCTs, validation of novel outcome measures, and obtaining long-term data on safety and durability. While gene therapy with voretigene neparvovec is approved by the U.S. FDA, there are no other -approved pharmacologic treatments for this condition. A recent systematic review found statistically significant improvements in full-field stimulus threshold (FST) test and Multi-Luminance Mobility Test (MLMT) from gene therapy for *RPE65*-mediated retinal dystrophies; the most common adverse events included ocular hypertension/intraocular pressure increase and ocular pain/discomfort. Another systematic review on gene therapy for *RPE65*-associated Leber's Congenital Amaurosis (LCA) found an improvement in FST, but not in mobility, visual acuity (VA), or central retinal thickness, while a third systematic review that included the same studies found an improvement of VA and FST for up to 2 years after treatment. One RCT (N=31) comparing voretigene neparvovec with a control demonstrated greater improvements on the MLMT, which measures the ability to navigate in dim lighting conditions. Most other measures of visual function were also significantly improved in the voretigene neparvovec group compared with the control group. Adverse events were mostly mild to moderate; however, there is limited follow-up available, and the long-term efficacy and safety are unknown. Based on a small number of patients from both early and phase 3 studies, voretigene neparvovec appears to have durable effects to at least 4 years. Other gene therapies tested in early phase trials have shown improvements in retinal function but variable durability of effect; some patients from 2 cohorts who initially experienced improvements have subsequently experienced declines after 1 to 3 years. The evidence is sufficient to determine that the technology results in an improvement in the net health outcome.

### **Practice Guidelines and Position Statements**

#### National Institute for Health and Care Excellence (NICE)

In 2019, the NICE published guidance for the use of voretigene neparvovec-rzyl (Luxturna) in the treatment of inherited retinal dystrophy (IRD) caused by *RPE65* gene mutations. (52) The treatment is recommended for individuals with vision loss caused by IRD from confirmed biallelic *RPE65* mutations who have sufficient viable retinal cells. Despite uncertainty surrounding long-term durability, the committee felt this intervention is likely to provide important clinical benefits for individuals afflicted with IRD.

### **Ongoing and Unpublished Clinical Trials**

Interest in gene therapy for inherited retinal dystrophies has grown enormously in recent years; numerous gene therapy treatments (with various targets) are now in different stages of clinical

development. Some currently ongoing and unpublished trials that might influence this review are listed in Table 9.

**Table 9. Summary of Key Trials**

NCT Number	Trial Name	Planned Enrollment	Completion Date
<b>Ongoing</b>			
NCT04123626 <sup>a</sup>	A Prospective First-In-Human Study to Evaluate the Safety and Tolerability of QR-1123 in Subjects with Autosomal Dominant Retinitis Pigmentosa (adRP) Due to the P23H Mutation in the RHO Gene (AURORA)	11	Jun 2022 (ongoing)
NCT03913143 <sup>a</sup>	Double-masked, Randomized, Controlled, Multiple-dose Study to Evaluate Efficacy, Safety, Tolerability and Syst. Exposure of QR-110 in Leber's Congenital Amaurosis (LCA) Due to c.2991+1655A>G Mutation (p.Cys998X) in the CEP290 Gene (ILLUMINATE)	36	Mar 2023 (ongoing)
NCT0467143 <sup>a</sup>	Phase 3 Randomized, Controlled Study of AAV5-RPGR for the Treatment of X-linked Retinitis Pigmentosa Associated With Variants in the RPGR Gene	66	Oct 2023 (recruiting)
NCT02946879 <sup>a</sup>	Long-term Follow-up Study of Participants Following an Open-Label, Multi-centre, Phase I/II Dose Escalation Trial of an Adeno-associated Virus Vector (AAV2/5- OPTIRPE65) for Gene Therapy of Adults and Children with Retinal Dystrophy Owing to Defects in RPE65 (LCA2)	27	Apr 2023 (ongoing)
NCT03872479 <sup>a</sup>	Open-Label, Single Ascending Dose Study to Evaluate the Safety, Tolerability, and Efficacy of AGN-151587 (EDIT-101) in Adult and Pediatric Participants with Leber Congenital Amaurosis Type 10 (LCA10), With Centrosomal Protein 290 (CEP290)- Related Retinal Degeneration Caused by a Compound Heterozygous or Homozygous Mutation Involving c.2991+1655A>G in Intron 26 (IVS26) of the CEP290 Gene ("LCA10-IVS26")	34	Mar 2024 (recruiting)
NCT02317887	A Phase I/IIa Study of RS1 Ocular Gene Transfer for X-linked Retinoschisis	12	Jul 2025 (ongoing)

NCT03328130 <sup>a</sup>	Safety and Efficacy of a Unilateral Subretinal Administration of HORA-PDE6B in Patients with Retinitis Pigmentosa Harboring Mutations in the PDE6B Gene Leading to a Defect in PDE6 $\beta$ Expression	17	Dec 2026 (recruiting)
NCT03326336 <sup>a</sup>	A Phase 1/2a, Open-Label, Non-Randomized, Dose-Escalation Study to Evaluate the Safety and Tolerability of GS030 in Subjects with Retinitis Pigmentosa (PIONEER)	15	Dec 2025 (recruiting)
NCT04516369 <sup>a</sup>	An Open-Label, Single-Arm Study to Provide Efficacy and Safety Data of Voretigene Neparvovec Administered as Subretinal Injection in Japanese Patients With Biallelic <i>RPE65</i> Mutation-associated Retinal Dystrophy	4	May 2026 (ongoing)
NCT03316560 <sup>a</sup>	An Open-Label Dose Escalation Study to Evaluate the Safety and Efficacy of AGTC- 501 (rAAV2tYF-GRK1-RPGR) in Subjects With X-linked Retinitis Pigmentosa Caused by RPGR Mutations	42	Aug 2026 (recruiting)
NCT03597399 <sup>a</sup>	A Post-Authorization, Multicenter, Longitudinal, Observational Safety Registry Study for Patients Treated with Voretigene Neparvovec	87	Jan 2025 (ongoing)
NCT00481546	Phase I Trial of Ocular Subretinal Injection of a Recombinant Adeno-Associated Virus (rAAV2-CBSB-h <i>RPE65</i> ) Gene Vector to Patients with Retinal Disease Due to <i>RPE65</i> Mutations (Clinical Trials of Gene Therapy for Leber Congenital Amaurosis) (LCA)	15	Jun 2026 (ongoing)
NCT04794101 <sup>a</sup>	Follow-up Phase 3 Randomized, Controlled Study of AAV5-RPGR for the Treatment of ciated With Variants in the RPGR Gene	66	Oct 2028 recruiting)
NCT01208389 <sup>a</sup>	A Follow-On Study to Evaluate the Safety of Re-Administration of Adeno-Associated Viral Vector Containing the Gene for Human <i>RPE65</i> [AAV2-h <i>RPE65</i> v2] to the Contralateral Eye in Subjects with Leber Congenital Amaurosis (LCA) Previously Enrolled in a Phase 1 Study	12	Jun 2030 (ongoing)



NCT04517149 <sup>a</sup>	An Open-Label, Phase 1/2 Trial of Gene Therapy 4D-125 in Males With X- linked Retinitis Pigmentosa (XLRP) Caused by Mutations in the RPGR Gene	43	May 2029 (recruiting)
NCT00999609 <sup>a</sup>	A Safety and Efficacy Study in Subjects With Leber Congenital Amaurosis (LCA) Using Adeno-Associated Viral Vector to Deliver the Gene for Human <i>RPE65</i> to the Retinal Pigment Epithelium (RPE) [AAV2-h <i>RPE65</i> v2-301]	31	Jul 2029 (ongoing)
NCT03602820 <sup>a</sup>	A Long-Term Follow-Up Study in Subjects Who Received an Adenovirus-Associated Viral Vector Serotype 2 Containing the Human <i>RPE65</i> Gene (AAV2-h <i>RPE65</i> v2, Voretigene Neparvovec-rzyl) Administered Via Subretinal Injection	41	Jun 2030 (ongoing)
NCT02435940	Foundation Fighting Blindness Registry, My Retina Tracker	20,000	Jun 2037 (recruiting)
<b>Unpublished</b>			
NCT00516477 <sup>a</sup>	A Phase 1 Safety Study in Subjects with Leber Congenital Amaurosis (LCA) Using Adeno-Associated Viral Vector to Deliver the Gene for Human <i>RPE65</i> Into the Retinal Pigment Epithelium (RPE) [AAV2-h <i>RPE65</i> v2-101]	12	Mar 2018 (completed)
NCT03252847 <sup>a</sup>	An Open-label, Multi-centre, Phase I/II Dose Escalation Trial of a Recombinant Adeno-associated Virus Vector (AAV2-RPGR) for Gene Therapy of Adults And Children With X-linked Retinitis Pigmentosa Owing to Defects in Retinitis Pigmentosa GTPase Regulator (RPGR).	49	Nov 2021 (completed)

NCT: national clinical trial; <sup>a</sup> Denotes industry-sponsored or cosponsored trial.

## Coding

Procedure codes on Medical Policy documents are included **only** as a general reference tool for each policy. **They may not be all-inclusive.**

The presence or absence of procedure, service, supply, or device codes in a Medical Policy document has no relevance for determination of benefit coverage for members or reimbursement for providers. **Only the written coverage position in a Medical Policy should be used for such determinations.**

Benefit coverage determinations based on written Medical Policy coverage positions must include review of the member's benefit contract or Summary Plan Description (SPD) for defined coverage vs. non-coverage, benefit exclusions, and benefit limitations such as dollar or duration caps.

<b>CPT Codes</b>	67299, 0810T
<b>HCPCS Codes</b>	C9770, J3398

\*Current Procedural Terminology (CPT®) ©2023 American Medical Association: Chicago, IL.

## References

1. Hartong DT, Berson EL, Dryja TP. Retinitis pigmentosa. *Lancet*. Nov 18 2006; 368(9549):1795-1809. PMID 17113430
2. O'Neal TB, Luther EE. Retinitis pigmentosa. In: StatPearls. Treasure Island (FL): StatPearl Publishing; August 8, 2022.
3. Jin M, Li S, Moghrabi WN, et al. Rpe65 is the retinoid isomerase in bovine retinal pigment epithelium. *Cell*. Aug 12 2005; 122(3):449-459. PMID 16096063
4. Naso M, Tomkowicz B, Perry WL, et al. Adeno-associated virus (AAV) as a vector for gene therapy. *BioDrugs*. Aug 2017; 31(4):317-334. PMID 28669112
5. Stone EM. Leber congenital amaurosis - a model for efficient genetic testing of heterogeneous disorders: LXIV Edward Jackson Memorial Lecture. *Am J Ophthalmol*. Dec 2007; 144(6):791-811. PMID 17964524
6. Koenekoop RK. An overview of Leber congenital amaurosis: a model to understand human retinal development. *Surv Ophthalmol*. Jul-Aug 2004; 49(4):379-398. PMID 15231395
7. den Hollander A, Roepman R, Koenekoop RK, et al. Leber congenital amaurosis: genes, proteins and disease mechanisms. *Prog Retin Eye Res*. Jul 2008; 27(4):391-419. PMID 18632300
8. Astuti GD, Bertelsen M, Preising MN, et al. Comprehensive genotyping reveals RPE65 as the most frequently mutated gene in Leber congenital amaurosis in Denmark. *Eur J Hum Genet*. Jul 2016; 24(7):1071-1079. PMID 26626312
9. Kumaran N, Moore AT, Weleber RG, et al. Leber congenital amaurosis/early-onset severe retinal dystrophy: clinical features, molecular genetics and therapeutic interventions. *Br J Ophthalmol*. Sep 2017; 101(9):1147-1154. PMID 28689169
10. Morimura H, Fishman GA, Grover SA, et al. Mutations in the RPE65 gene in patients with autosomal recessive retinitis pigmentosa or leber congenital amaurosis. *Proc Natl Acad Sci U S A*. Mar 17 1998; 95(6):3088-3093. PMID 9501220
11. FDA package insert Advisory Committee Briefing Document: Spark Therapeutics, Inc, Luxturna™ (voretigene neparvovec). 2017; Available at <<https://www.fda.gov>> (accessed June 26, 2023).
12. Vázquez-Domínguez I, Garanto A, Collin RWJ. Molecular Therapies for Inherited Retinal Diseases-Current Standing, Opportunities and Challenges. *Genes (Basel)*. Aug 28 2019; 10(9):654. PMID 31466352
13. Campa C, Gallenga CE, Bolletta E, et al. The role of gene therapy in the treatment of retinal diseases: a review. *Curr Gene Ther*. Nov 2017; 17(3):194-213. PMID 29149824

14. FDA—Highlights of Prescribing Information. Luxturna (voretigene neparvovec-rzyl). Food and Drug Administration. May 2022; Available at <<http://www.fda.gov>> (accessed June 26, 2023).
15. Russell S, Bennett J, Wellman JA, et al. Efficacy and safety of voretigene neparvovec (AAV2-hRPE65v2) in patients with RPE65-mediated inherited retinal dystrophy: a randomised, controlled, open-label, phase 3 trial. *Lancet*. Aug 26 2017; 390(10097):849-860. PMID 28712537
16. Beck RW, Maguire MG, Bressler NM, et al. Visual acuity as an outcome measure in clinical trials of retinal diseases. *Ophthalmology*. Oct 2007; 114(10):1804-1809. PMID 17908590
17. Bittner AK, Gould JM, Rosenfarb A, et al. A pilot study of an acupuncture protocol to improve visual function in retinitis pigmentosa patients. *Clin Exp Optom*. May 2014; 97(3):240-247. PMID 24773463
18. Lichter PR, Musch DC, Gillespie BW, et al. Interim clinical outcomes in the Collaborative Initial Glaucoma Treatment Study comparing initial treatment randomized to medications or surgery. *Ophthalmology*. Nov 2001; 108(11):1943-1953. PMID 11713061
19. Gillespie BW, Musch DC, Niziol LM, et al. Estimating minimally important differences for two vision-specific quality of life measures. *Invest Ophthalmol Vis Sci*. Jun 6 2014; 55(7):4206-4212. PMID 24906863
20. Submacular Surgery Trials Research Group. Evaluation of minimum clinically meaningful changes in scores on the National Eye Institute Visual Function Questionnaire (NEI-VFQ) SST Report Number 19. *Ophthalmic Epidemiol*. Jul-Aug 2007; 14(4):205-215. PMID 17896299
21. Chung DC, McCague S, Yu ZF, et al. Novel mobility test to assess functional vision in patients with inherited retinal dystrophies. *Clin Exp Ophthalmol*. Apr 2018; 46(3):247-259. PMID 28697537
22. Britten-Jones AC, Jin R, Gocuk SA, et al. The safety and efficacy of gene therapy treatment for monogenic retinal and optic nerve diseases: A systematic review. *Genet Med*. Mar 2022; 24(3): 521-534. PMID 34906485
23. Le Meur G, Lebranchu P, Billaud F, et al. Safety and long-term efficacy of AAV4 gene therapy in patients with RPE65 Leber Congenital Amaurosis. *Mol Ther*. Jan 03 2018; 26(1): 256-268. PMID 29033008
24. Jacobson SG, Cideciyan AV, Ratnakaram R, et al. Gene therapy for leber congenital amaurosis caused by RPE65 mutations: safety and efficacy in 15 children and adults followed up to 3 years. *Arch Ophthalmol*. Jan 2012; 130(1):9-24. PMID 21911650
25. Maguire AM, High KA, Auricchio A, et al. Age-dependent effects of RPE65 gene therapy for Leber's congenital amaurosis: a phase 1 dose-escalation trial. *Lancet*. Nov 7 2009; 374(9701):1597-1605. PMID 19854499
26. Maguire AM, Russell S, Wellman JA, et al. Efficacy, safety, and durability of Voretigene Neparvovec-rzyl in RPE65 mutation-associated inherited retinal dystrophy: results of phase 1 and 3 trials. *Ophthalmology*. Sep 2019; 126(9):273-1285. PMID 31443789
27. Bainbridge JW, Mehat MS, Sundaram V, et al. Long-term effect of gene therapy on Leber's congenital amaurosis. *N Engl J Med*. May 14 2015; 372(20):1887-1897. PMID 25938638
28. Weleber RG, Pennesi ME, Wilson DJ, et al. Results at 2 years after gene therapy for RPE65-deficient Leber congenital amaurosis and severe early-childhood-onset retinal dystrophy. *Ophthalmology*. Jul 2016; 123(7):1606-1620. PMID 27102010

29. Wang X, Yu C, Tzekov RT, Zhu Y, Li W. The effect of human gene therapy for RPE65-associated Lebers congenital amaurosis on visual function: a systematic review and meta-analysis. *Orphanet J Rare Dis.* 2020;15(1):49. PMID 32059734
30. Tuohy GP, Megaw R. A systematic review and meta-analyses of interventional clinical trial studies for gene therapies for the inherited retinal degenerations (IRDs). *Biomolecules.* May 19 2021; 11(5). PMID 34069580
31. Testa F, Maguire AM, Rossi S, et al. Three-year follow-up after unilateral subretinal delivery of adeno-associated virus in patients with Leber congenital Amaurosis type 2. *ophthalmology.* Jun 2013; 120(6):1283-1291. PMID 23474247
32. Maguire AM, Russell S, Chung DC, et al. Durability of Voretigene Neparvovec for Biallelic RPE65-Mediated Inherited Retinal Disease: phase 3 results at 3 and 4 years. *Ophthalmology.* Oct 2021; 128(10): 1460-1468. PMID 33798654
33. Maguire AM, Simonelli F, Pierce EA, et al. Safety and efficacy of gene transfer for Leber's congenital amaurosis. *N Engl J Med.* May 22 2008; 358(21):2240-2248. PMID 18441370
34. Simonelli F, Maguire AM, Testa F, et al. Gene therapy for Leber's congenital amaurosis is safe and effective through 1.5 years after vector administration. *Mol Ther.* Mar 2010; 18(3):643-650. PMID 19953081
35. Ashtari M, Cyckowski LL, Monroe JF, et al. The human visual cortex responds to gene therapy-mediated recovery of retinal function. *J Clin Invest.* Jun 2011; 121(6):2160-2168. PMID 21606598
36. Bennett J, Ashtari M, Wellman J, et al. AAV2 gene therapy readministration in three adults with congenital blindness. *Sci Transl Med.* Feb 8 2012; 4(120):120ra115. PMID 22323828
37. Ashtari M, Zhang H, Cook PA, et al. Plasticity of the human visual system after retinal gene therapy in patients with Leber's congenital amaurosis. *Sci Transl Med.* Jul 15 2015; 7(296):296ra110. PMID 26180100
38. Bennett J, Wellman J, Marshall KA, et al. Safety and durability of effect of contralateral-eye administration of AAV2 gene therapy in patients with childhood-onset blindness caused by RPE65 mutations: a follow-on phase 1 trial. *Lancet.* Aug 13 2016; 388(10045):661-672. PMID 27375040
39. Ashtari M, Nikonova ES, Marshall KA, et al. The role of the human visual cortex in assessment of the long-term durability of retinal gene therapy in follow-on RPE65 clinical trial patients. *Ophthalmology.* Jun 2017; 124(6):873-883. PMID 28237426
40. Bainbridge JW, Smith AJ, Barker SS, et al. Effect of gene therapy on visual function in Leber's congenital amaurosis. *N Engl J Med.* May 22 2008; 358(21):2231-2239. PMID 18441371
41. Stieger K. tgAAG76, an adeno-associated virus delivered gene therapy for the potential treatment of vision loss caused by RPE65 gene abnormalities. *Curr Opin Mol Ther.* Aug 2010; 12(4):471-477. PMID 20677098
42. Ripamonti C, Henning GB, Robbie SJ, et al. Spectral sensitivity measurements reveal partial success in restoring missing rod function with gene therapy. *J Vis.* Nov 2015; 15(15):20. PMID 26605849
43. Hauswirth WW, Aleman TS, Kaushal S, et al. Treatment of leber congenital amaurosis due to RPE65 mutations by ocular subretinal injection of adeno-associated virus gene vector: short-term results of a phase I trial. *Hum Gene Ther.* Oct 2008; 19(10):979-990. PMID 18774912

44. Cideciyan AV, Aleman TS, Boye SL, et al. Human gene therapy for RPE65 isomerase deficiency activates the retinoid cycle of vision but with slow rod kinetics. Proc Natl Acad Sci U S A. Sep 30 2008; 105(39):15112-15117. PMID 18809924
45. Cideciyan AV, Hauswirth WW, Aleman TS, et al. Human RPE65 gene therapy for Leber congenital amaurosis: persistence of early visual improvements and safety at 1 year. Hum Gene Ther. Sep 2009; 20(9):999-1004. PMID 19583479
46. Cideciyan AV, Hauswirth WW, Aleman TS, et al. Vision 1 year after gene therapy for Leber's congenital amaurosis. N Engl J Med. Aug 13 2009; 361(7):725-727. PMID 19675341
47. Cideciyan AV, Jacobson SG, Beltran WA, et al. Human retinal gene therapy for Leber congenital amaurosis shows advancing retinal degeneration despite enduring visual improvement. Proc Natl Acad Sci U S A. Feb 5 2013; 110(6):E517-525. PMID 23341635
48. Cideciyan AV, Aguirre GK, Jacobson SG, et al. Pseudo-fovea formation after gene therapy for RPE65-LCA. Invest Ophthalmol Vis Sci. Dec 23 2014; 56(1):526-537. PMID 25537204
49. Jacobson SG, Cideciyan AV, Roman AJ, et al. Improvement and decline in vision with gene therapy in childhood blindness. N Engl J Med. May 14 2015; 372(20):1920-1926. PMID 25936984
50. Banin E, Bandah-Rozenfeld D, Obolensky A, et al. Molecular anthropology meets genetic medicine to treat blindness in the North African Jewish population: human gene therapy initiated in Israel. Hum Gene Ther. Dec 2010; 21(12):1749-1757. PMID 20604683
51. Pennesi ME, Weleber RG, Yang P, et al. Results at 5 years after gene therapy for RPE65-deficient retinal dystrophy. Hum Gene Ther. Dec 2018; 29(12):1428-1437. PMID 29869534
52. National Institute for Health and Care Excellence (NICE). Voretigene neparvovec for treating inherited retinal dystrophies caused by RPE65 gene mutations [HST11]. October 9, 2019. Available at <<https://nice.org.uk>> (accessed June 26, 2023).

## Centers for Medicare and Medicaid Services (CMS)

The information contained in this section is for informational purposes only. HCSC makes no representation as to the accuracy of this information. It is not to be used for claims adjudication for HCSC Plans.

The Centers for Medicare and Medicaid Services (CMS) does not have a national Medicare coverage position. Coverage may be subject to local carrier discretion.

A national coverage position for Medicare may have been developed since this medical policy document was written. See Medicare's National Coverage at <<https://www.cms.hhs.gov>>.

## Policy History/Revision

Date	Description of Change
12/15/2024	Reviewed. No changes.
08/01/2023	Document updated with literature review. The following change was made in Coverage: updated term "patient" to "individual" although no change to

	intent of Coverage. Added references 2, 12, 22, 24, 29, 30. Others updated; some removed.
06/01/2022	Document updated with literature review. Coverage unchanged. Added references 22, 23, 47, 49, 50. Others updated.
08/01/2021	Reviewed. No changes.
07/15/2020	Document updated with literature review. The following change was made to coverage: 1) Added age <65 years added; 2) Expanded genetic testing criteria to include a) Single <i>RPE65</i> pathogenic variant or likely pathogenic variant found in the homozygous state (e.g., the presence of the same variant in both copies alleles of the <i>RPE65</i> gene), b) Two <i>RPE65</i> pathogenic variants or likely pathogenic variants found in the trans configuration (compound heterozygous state) by segregation analysis (e.g., the presence of 2 different <i>RPE65</i> variants in separate copies of the <i>RPE65</i> gene (trans configuration)); 3) Expanded presence of viable retinal cells criteria to also include a) $\geq 3$ disc areas of retina without atrophy or pigmentary degeneration within the posterior pole, or b) Remaining VF within 30° of fixation as measured by III4e isopter or equivalent; 4) Added that patient does not have ANY of the following: a) Pregnancy in females, b) Breastfeeding, c) Use of retinoid compounds or precursors that could potentially interact with the biochemical activity of the <i>RPE65</i> enzyme; individuals who discontinue use of these compounds for 18 months may become eligible, d) Prior intraocular surgery within 6 months, e) Preexisting eye conditions or complicating systemic diseases that would preclude the planned surgery or interfere with the interpretation of study with examples. All new references. Title changed from “Voretigene Neparvovec (Luxturna).”
06/01/2018	New medical document. Voretigene neparvovec (Luxturna™) may be considered medically necessary for the treatment of inherited retinal dystrophies (IRD) caused by mutations in the retinal pigment epithelium-specific protein 65kDa ( <i>RPE65</i> ) gene in patients who meet ALL the following criteria: Patient is greater than 12 months of age; Diagnosis of a confirmed biallelic <i>RPE65</i> mutation-associated retinal dystrophy (e.g. Leber’s congenital amaurosis [LCA], retinitis pigmentosa [RP] early onset severe retinal dystrophy [EOSRD], etc.); Genetic testing documenting biallelic mutations of the <i>RPE65</i> gene; Sufficient viable retinal cells as determined by optical coherence tomography (OCT) confirming an area of retina within the posterior pole of >100 $\mu\text{m}$ thickness; Prescribed and administered by ophthalmologist or retinal surgeon with experience providing sub-retinal injections; Patient has not previously received <i>RPE65</i> gene therapy in intended eye. Voretigene neparvovec (Luxturna™) is considered experimental, investigational, and/or unproven for all other indications.